

CONFLICT, CORRUPTION, AND CONSENT: AN ANALYSIS OF POPULAR  
SUPPORT IN AFGHANISTAN

By

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Submitted to the graduate degree program in Political Science  
and the Graduate Faculty of the University of Kansas in partial  
fulfillment of the requirements for the degree of Doctor of  
Philosophy.

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Date Defended: December 14, 2015

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Date approved: December 14, 2015

## **Abstract**

Who cares about corruption in conflict? Afghans do. This dissertation considers the intersection of governmental support and corruption during periods of instability.

Although there are studies connecting popular support to corruption, only a few studies have linked an operationalized concept of corruption to instability. None of these have attempted to contextualize corruption based on local norms to determine whether their definition measures behaviors that would be considered problematic by the populace.

This case study of Afghanistan provides a unique opportunity to open the black box of instability and analyze the impact of corruption on governmental support during an active conflict for control of the government. Using two waves of survey data from December 2008 and March 2009, I first identify the different types of corruption that are viewed as a serious problem by Afghans. I find evidence for types of corruption that cause losses and emotional duress have a greater negative impact on perceptions of corruption as serious problem. I then consider how these particularly salient aspects of corruption influence support for the Afghan national government and an external actor, the International Security Assistance Force lead by the United States, which requires popular support in its efforts to defeat the Taliban insurgency. I find evidence to suggest that corruption causes not only diminished support for the government, but can also lead the population to switch their support to the opposing side. Finally, I consider the effect of government performance, as well as the perceptions of corruption across government levels, on support for ISAF and its efforts to resolve the conflict. I find that government actions do affect popular opinion of the external counterinsurgent force, especially corrupt behavior by agents most closely associated with ISAF. Further, perceived trustworthiness and respect for cultural practices significantly impact support for the

external counterinsurgents. These findings have important implications for the priority that international interventions should place on corruption and its local reputation.



## **Acknowledgements**

I am thankful for a tremendous amount of support over the last few years, but my family continues to be the best one could imagine. Rada, this was a very long journey for us. You were tirelessly supportive, and your encouragement kept me going. A special thanks to my children who were never quiet when I thought I needed it—what I really did need was another dance party and your inspiring curiosity and creativity. Slava, your exemplary work ethic always motivated me to do more.

My advisor and chair, Professor Buttorff, always made time to listen to the jumble of ideas I could not yet articulate on paper. Gail, I hated deleting track changes because your brutality always made me laugh—No. Do better. You did make me a better scholar, and I would not be here today if you had not alternatively used guilt and encouragement to get me to the finish. Thank you for your amazing patience and dedication to my development.

The KU Political Science department is a great institution: serious scholarship without the pretense. Linda and Betty Jo ensured I was never wandering aimlessly through grad school. Special thanks to my committee members: Don Haider-Markel, Mark Joslyn, John Kennedy, and outside member Eric Hanley. Don, I learned a lot from you about being an academic, and what leadership in this environment looks like. Thank you for sponsoring the brown bags to professionally develop graduate students, and always being there to facilitate discussion. It provided a valuable venue to present early drafts of this work. John, thank you for being a supporter and second mentor throughout this dissertation. You were a great help in helping me refine the project so that it would be manageable and organized. Prof Hanley, your support during my master's program

enabled me to continue on to this point. Prof Joslyn, thank you for lending your perceptions and survey research expertise to this project. I also want to thank Prof Elaine Sharp, whose constant guidance during the first research methods course allowed for an early start on this project.

A strong network of friends and colleagues made this process enjoyable and professionally fulfilling. Jay Liddick, my Army mentor, was my biggest supporter from my original profession. Chris Melendez is a new Army colleague, but his thoughtful conversation and willingness to review drafts of this manuscript made a tremendous difference. John and Jerry have been with me from the beginning. They were always able to help me put life and learning in perspective when I was taking both a little to seriously. Finally, Pat's quick wit and knowledge of fermentation made for many great conversations on life and learning. Thank you for providing the means to experiment with the writing process, cold weather, and remote living.

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## **Introduction**

*Opinions are stronger than armies. Opinions, if they are founded on truth and justice, will in the end prevail against the bayonets of infantry, the fire of artillery and the charges of cavalry.*

- Lord Palmerston<sup>1</sup>

The importance placed on public opinion in irregular warfare cannot be understated.

Public opinions, “even when not founded truth or justice,” note Meyers and Brysac who cite Lord Palmerston in their discussion of the Great Game between Britain and Russia in the 19<sup>th</sup> Century, can still have decisive consequences for the onset and dynamics of war.

This dissertation considers the intersection of governmental support and corruption during periods of instability. Although there are studies connecting popular support to corruption, only a few studies have linked an operationalized concept of corruption to instability. An in-depth case study of Afghanistan provides a unique opportunity to open the black box of instability by analyzing the impact of corruption on governmental support during an active conflict for control of the government. It also takes a step back to consider whether corruption within the Afghan government also influences support for an external actor, the International Security Assistance Force (ISAF) lead by the United States, that continues to require popular support in its efforts to defeat the Taliban insurgency.

## **Regime Instability and Corruption**

One would expect a corrupt regime to be an unstable one—one that would eventually fall under the weight of mismanagement and assorted flavors of favoritism. The logic seems straightforward. Regimes lose support due to corruption. At some point, the citizens and/or elites become fed up and take action, resulting in reforms, protests,

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<sup>1</sup> Cited in Meyer, K.E. & S.B. Brysac. 1999. *Tournament of Shadows: The Great Game and the Race for Empire in Central Asia*. Washington, D.C.: Counterpoint, p. xxii.

regime change and/or conflict if dialogue fails. This period of challenging the incumbent government is broadly labeled instability.<sup>2</sup> Although the effect of corruption on regime stability may seem intuitive, the link has only tenuously been established theoretically and empirically.

For example, the coverage and subsequent analysis of the protests in the Arab Spring frequently suggested corruption was at least an underlying cause of the instability. In Tunisia, Mohamed Bouazizi had his produce and equipment confiscated for not paying a bribe to the local police (Abouzeid 2011). His self-immolation provided the literal spark for a wave of unrest attempting to oust a corrupt regime, which spread to surrounding countries in the Middle East and North Africa. Several of the affected countries, most notably Libya, Syria and Yemen, remain beset by conflict. The Arab Spring protests and the subsequent regime changes appear to validate beliefs that corruption causes instability. However, corruption had been high in the Middle East and North African (MENA) countries for decades (Warf 2015), so it cannot be a sufficient condition for regime instability. Thus, speculation that corruption will *cause* instability lacks analytical rigor.

The scholarly community has yet to systematically examine the intersection of corruption, regime support and instability. Individually, each of these topics has produced a vast literature. Many of the most cited works in comparative politics look cross-nationally in an attempt to uncover those variables that cause corruption, support for regimes, or instability. However, the lack of explanatory power from analyses that rely on

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<sup>2</sup> Political instability for regimes is typically expressed as unconstitutional regime transition, or civil war onset in large-N studies (c.f. Goldstone et al. 2010 and, Collier and Hoeffler 2010). There are multiple expressions of the ‘overthrow’ process, which represent different events in an attempt to change the regime. Protest has also been included as a piece of political instability when looking in greater detail at the process of regime change (Snyder and Mahoney 1999, see also Smith, 2004, for a MENA regional statistical study).

indices and proxy variables for their key concepts is pushing the research community to be more introspective about the limits of these cross-national studies. For example, while prolific, the regime instability literature has yet to come to consensus about the causes of regime change (Geddes 2007, Haggard and Kaufman 2012). The proxies and indices used to suggest causal mechanisms do not hold up under scrutiny.<sup>3</sup> Recently, Hale (2013) suggests that current methodological approaches that use cross-national investigation are less helpful when ‘cascades’ from adjacent regimes influence system behavior. At a minimum, this concern suggests that the independence of observations required by most statistical techniques is violated in these cross-national statistical analyses. In the Arab Spring example, a conventional cross-national approach to regime change or civil war onset would typically fail to account for the contagion affect that occurred as protest spread from Tunisia to surrounding countries.

A solution explicitly called for by Haggard and Kaufman (2012), and implicit in Hale’s (2013) conditions for regime change, is the need to look within each country and examine the potential for collective action that may lead to instability and regime change. The argument is that using proxy variables for key concepts, such as income inequality or corruption, provides, at best, a static snapshot of a dynamic process. Yet, these proxies have provided the basis for cross-national comparisons and subsequent causal theorizing. As might be expected, these crude proxies for corruption have failed to explain how and when events unfold. Rather than assume income inequality or another grievance related issue like corruption are important mobilizing issues for collective action, it is necessary

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<sup>3</sup> Reviewing and extending previous work by Boix (2003; 2008) and Acemoglu and Robinson (2000; 2001; 2006), Haggard and Kaufman (2012) began their project by suggesting that current databases are flawed. However, they find that a more thorough recoding in a qualitative dataset does not yield more robust conclusions and suggests that returning to collective action concerns may yield improved causal explanation.

to first explore whether and what forms of inequality and/or corruption matter in a given country so that we can be more precise in our predictions and explanation.

The progress of research on conflict and corruption is leading us toward this enhanced specificity. There is currently one study (Taydas et al. 2010) that finds corruption, especially corruption related to procedural fairness, leads to an increased likelihood of civil war onset. Now, if we want to explain the importance of corruption in the ongoing conflict in Afghanistan, we must first increase the level of specificity for this concept as there a number of procedural fairness issues, and other types of corrupt behavior, in this highly corrupt state. Which types of corruption explain declining (or stable) support for ISAF or the resilience of the Taliban's ability to mobilize local support? This dissertation begins to provide the specificity necessary to improve explanation by probing corruption and determining which aspects are the most salient to Afghans. For example, it shows that corruption in the state court system is highly problematic and is therefore a likely reason why the Taliban's shadow government is able to mobilize supporters to its cause. The type of survey research used in this dissertation bridges the gap between cross-national findings and field research, such as that carried out by Farrell and Giustozzi (2013) in Helmand province whose interviews find indications of the local popularity of the Taliban's courts.

Otherwise, the expansive literature on the effects of corruption suffers from challenges similar to the regime change scholarship—evident in the recent review of the corruption indices by Donchev and Ujhelyi (2014). The authors find that factors “such as economic development, democratic institutions or Protestant traditions bias perceptions downward from corruption experience” (p. 309). The level of economic development was

often thought to cause corruption, but it independently did not track well with actual experience. Further, they find that a country's geographic and population size bias corruption upward: the larger the population the greater the chance for corruption, and thus a tendency for a larger absolute number of incidents to increase perceptions of corruption prevalence. The results of their review of the field call into question the robustness of previous findings on the ill effects of corruption on a range of topics using the most popular databases and indexes. Even the most frequently cited link between corruption and economic performance has been called into question—since the higher a nation's GDP, the less likely citizens are to perceive the nation to be corrupt (Ibid).<sup>4</sup>

A common thread between both sets of literature is the inability for concepts to be adequately measured and compared cross-nationally. On this point, Donchev and Ujhelyi find that existing indices, such as Transparency International's Corruption Perception Index (CPI) and the World Bank's Control of Corruption Index (CCI), may be better suited to examine differences among nations with low levels of corruption.<sup>5</sup> The utility of perception-based indices does not seem to translate to high corruption cases like our case, Afghanistan. This is obviously a problem if we want to focus our analysis on the potential effects of corruption on regime stability and conflict—with the countries of greatest interest or risk being those that fall into the highly corrupt category of nations.

A further difficulty is noted by Tverdova (2011), who finds that nations considered to be the most corrupt displayed a greater gap between elite and public perceptions. In high corruption countries, "the public," she suggests, "may become

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<sup>4</sup> This has a convenient narrative because biased findings toward rich donor countries who would then use findings from corruption indexes to force favorable economic reforms (Andersson and Heywood, 2009).

<sup>5</sup> Specifically, they argue, "perception indices exhibit diminishing sensitivity to corruption experience, implying that they may be a better proxy for actual corruption in low-corruption countries than in high-corruption ones" (p. 310).



desensitized to the issue and accept corrupt practices as a social norm” (p. 8). Persson et al. (2013), on the other hand, suggest that it is not really acceptance of social norms in these highly corrupt cases, but rather the difficulty of overcoming the inherent collective action problem. The difference in posture is whether the population truly accepts the status quo. Tverdova’s interpretation would support explanations that corruption becomes a cultural and institutional norm. Persson et al. lend support to beliefs that people would abandon social norms if given the opportunity to change the situation (i.e. challenge the government).

One could also argue, as I do in this dissertation, that these authors’ arguments are not mutually exclusive, especially when we consider the range of potential behavior that could be characterized as corrupt. Types of corruption that are deemed unacceptable can exist simultaneously with types of corruption that are viewed as culturally acceptable. In such situations, some types of corruption will be accepted as a cultural norm, and will therefore not form the basis of collective action challenging the government, but other types will undermine support for the government and possibly breed instability and conflict.

## **Overview**

The starting point for this dissertation is resolving which types of corruption are accepted and those that are problematic for regime support. The trouble with existing indexes motivated the return to a case study approach. Survey data from Afghanistan provides an ideal tool to analyze the primary research question: do different types of corruption impact regime and counterinsurgent support during conflict? The Afghanistan

case in late 2008 and early 2009 provides some unique observational opportunities that are lacking in the literature since the survey data was collected during active conflict.

In particular, this dissertation utilizes two waves of the Afghanistan Nationwide Quarterly Assessment Research (ANQAR) survey carried out between 2008 and 2013 by ISAF. The surveys were undertaken to assess the attitudes of the Afghan population on performance of the Afghan government and the international security forces. Each wave surveyed approximately 8,000 people for a combined N of 16,729. The scope of the instrument was to assess a broad sample of the national population—although it does not purport to be nationally representative – some areas of the country are underrepresented due to active conflict and the remoteness of many villages.

Although the survey was administered quarterly during this time period, the set of corruption questions was not asked consistently across all waves. I focus, therefore, on two waves (December 2008 and March 2009) for which a range of questions regarding perceptions of corruption in various institutions, including the court system and sub-national government, as well as experience with improper acts by members of the police force and the national army.

The survey includes respondents in all 34 of Afghanistan's provinces. Basic demographic characteristics of the 16,791 survey respondents are presented in Table 1.1, including proportion of males and females surveyed, as well as proportion of major ethnic groups. Most of the respondents lived in villages (87.30%) and a majority (58.74%) reported having no formal schooling. Fifty percent of respondents identified as Pashtun, followed by 27.40% as Tajiks, 7.6% as Uzbek, 6.4% as Hazara and the remaining as Turkmen (2.02%), Nuristani (2.21%), Baloch (1.08%), Arab (0.86%),

Kirghiz (0.02%), Pashai (1%), Sadat (0.83%), Parachai (0.04%), Bayat (0.27), and Other (0.02%). The age of respondents ranges from 15 to 89 with an average of 33 years and 50% of respondent aged 22-41.

**Table 1: Distribution of Demographic Characteristics**

Gender	Frequency (Percent)	Ethnicity	Frequency (Percent)	Communi- ty Type	Frequency (Percent)	Education	Frequency (Percent)
Female	8,150 (48.54)	Pashtun	8,434 (50.26)	Village	14,659 (87.30)	No formal schooling	9,861 (58.74)
Male	8,641 (51.46)	Tajik	4,598 (27.40)	Town	626 (3.728)	1 <sup>st</sup> to 6 <sup>th</sup> Grade	2,400 (14.30)
		Uzbek	1,275 (7.598)	City	666 (3.966)	7 <sup>th</sup> to 9 <sup>th</sup> Grade	2,056 (12.25)
		Hazara	1,074 (6.400)	Metro (Kabul)	840 (5.003)	10 <sup>th</sup> to 12 <sup>th</sup> Grade	2,104 (12.53)
		Other	1,400 (8.343)			University	362 (2.162)
Total	16,791		16,781		16,791		16,787

I undertake three major investigations in this dissertation in order to answer my overall research question. Each attempts to fill a gap in the literature on corruption, regime support, and counterinsurgent support in irregular warfare. First, there is generally a paucity of data collected during conflict, and none to date that examines the role of corruption. Chapter 2 fills this gap, answering the first part of the research question by identifying the different types of corruption that are viewed as a serious problem in Afghanistan. I find evidence for types of corruption that cause losses and emotional duress have a greater negative impact on perceptions of corruption as serious problem. The implication for future research is to pay careful attention to the types of corruption that are seen locally as problematic rather than adopt a ‘standard’ or international conception of corruption. Additionally, in highly corrupt societies, it is necessary to pay

attention to measuring citizens' sensitivity to corrupt acts and how this, in turn, can affect areas of public support without necessarily being able to elevate overall perceptions of corruption. Then, the theorized conditions that lay the foundation for regime change and civil war onset can be examined here to record elements of support for a regime during conflict while considering types of corruption.

Chapter 3 opens the black box of the transition period to investigate support for the regime. I find that, consistent with the literature's expectations, security plays the most important role in maintaining support. However, I also find evidence to suggest that corruption causes not only diminished support, but can also lead the population to switch their support to the opposing side. Future research on this trend would benefit from a time-series approach that tracks support regionally so that we have a better idea of the magnitude of the loss of support from corruption and the ability of other factors to counteract the loss.

Finally, conditions for support during irregular warfare are argued to be different from non-conflict scenarios (Kalyvas 2006), and it brings to the fore the necessity to examine the role that an external actor, in this case ISAF, plays in support of the Afghan population. Irregular warfare is a term used by Kalyvas to demarcate population centric warfare from that fought entirely between two armies. Since the conflict is fought among the people—popular support is theoretically still important. Thus, Chapter 4 considers the role that government performance and corruption plays in support for the actor attempting to resolve the conflict. I find that government actions do affect popular opinion of the external counterinsurgent force, especially corrupt behavior by agents most closely associated with the counterinsurgents. I also find that trust for the external force,

and its perceived respect for religion and traditions significantly impacts its popular support.

## **Chapter 2: Establishing country specific baselines for problematic corruption**

The fundamental problem in the corruption and regime stability literature is a tendency to refer to an ill-defined concept of corruption being linked to the prospect for system turmoil. When scholars turn to existing databases and indexes to operationalize the concept they inevitably are forced to narrowly focus on corruption as bribery and perceptions of patron client relationships. These types of corruption are not always bad for political stability. Some types of corruption may create economic inefficiency, but strengthen socio-political ties. Kitschelt and Wilkinson (2007) point out that these patron-client relationships establish positive linkages between the government and the citizenry. Although patron-client relations may result in negative outcomes, such as inequality, it may also generate solidarity and facilitate regime stability. For example, neighborhood brokers of clientistic goods can play a stabilizing role by limiting social protest or mediating disputes (Auyero 2001, cited in Kitschelt and Wilkinson 2007).<sup>6</sup>

Moreover, earlier scholarship on corruption suggested that some corruption might serve to improve on the administrative processes of the government (Merton 1968, Goldsmith 1999). Therefore, it seems necessary to establish whether there are types of corruption that are truly acceptable social norms. An example of such a practice is constituency service in an American political context (Philp 2002). This act is considered not to be corrupt and is partially legitimized by some scholars as being consistent with democracy to provide such services as a process of representation (c.f. Thompson 2000). Yet, such behavior would not necessarily be acceptable in other Western countries. This

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<sup>6</sup> Of course, the value of stabilizing protest in this manner could be normatively debated—especially if one views such protest as a mechanism for positive change. However, when it helps build consensus in a volatile and fragmented state, such stabilizing actions would be appreciated if it reduced a cycle of violence.

practice seems to persist mainly because it is an American democratic tradition. It is a cultural view of the acceptability of an act that if witnessed in other countries would typically be labeled clientelism—a term associated with backwardness and creating economic inefficiencies (Stokes 2007; Hicken 2011).

To move forward with any research on the potential destabilizing effects of corruption, I argue that a baseline should be set for what aspects of corruption are seen as problematic in a given country. It is necessary to separate the benign forms of corruption that no one cares about from the malignant. Only then can we explore whether corruption is truly undermining support for a regime and pushing them toward unstable conflict. As a first step, my research question asks what types of corrupt behavior are seen as a serious problem in Afghanistan based on two waves of survey data from December 2008 and March 2009. Unique to this survey instrument are questions that allow respondents to document a wide variety of corrupt acts carried out by the police and national army, while also examining a number of perceptual questions. The perceptual questions asked are often linked to corruption correlates/proxies (like impartiality) found in the corruption literature. The Afghan experiences and perceptions can then be analyzed to determine if they push corruption to be considered a “serious” problem in Afghanistan. This is an important case study because it falls into the category of states that previous research acknowledges it does not measure well: the highly corrupt (Donchev and Ujhelyi 2014).

## **Defining and Measuring Corruption**

Defining corruption is a complex endeavor. We generally must define it in order to measure it. Susan Rose-Ackerman in the *International Handbook on the Economics of Corruption* (2007) notes that corruption is a moral principle of sorts that describes the tainting of an ideal. This causes corruption to have a moral/emotional aspect that is *perceived* by groups of individuals, and a more definitive legal side. Not all legal acts will be perceived as moral, and not all illegal acts will be perceived to be immoral. According to Rose-Akerman, the moral aspects of corruption tended to violate economists' disinclinations to evaluate the goodness or badness of behavior (p. xv).<sup>7</sup> Their response early on was to narrow the definition of corruption to strictly legalistic terminology as "monetary payments to agents (both public and private) to induce them to ignore the interests of the principals and to favor the private interests of the bribers instead" (p. xvi). This definition appeals to economists, but it may be overly narrow, because corruption need not require money to change hands. However, the adoption of this definition created a bribe-centric research agenda.

Today, the prominently used definition of corruption tends to highlight some form of "misuse of public office for private gain" (Treisman 2007, Tavits 2010). Another commonly used definition originating the World Bank, World Governance Indicators has the same core principle, but elaborates on its meaning more. Their definition is that corruption "reflects perceptions of the extent to which public power is exercised for private gain, including both petty and grand forms of corruption, as well as "capture" of

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<sup>7</sup> And as we will see below, those organizations providing the most common measure of corruption approach it from an economic development lens. Therefore, the idiosyncrasies and research focus of economists heavily shape our understanding of corruption up to this point.



the state by elites and private interests” (Kauffman et al. 2011; p. 4).<sup>8</sup> This definition is quite broad, and state ‘capture’ is included to measure ‘legal’ forms of corruption—where, by some standards of fairness or international practice, these actions would be deemed corrupt or illegal, but in a particular country it has been rendered legal (Kaufmann et al., 2000). In more established democracies with strong institutions this state capture is often just a different form of corruption—that which creates an influence market where individual interests seek preferential access to lawmakers (Johnston, 2005). The nuance adds to a sophisticated discussion on corruption.

In Judge et al’s (2011) meta-analysis of corruption research, the taking of bribes features prominently in the three “most common” measures of corruption.<sup>9</sup> These instruments limit their experiential data to bribes, but have adjusted perceptual considerations to other concepts of corruption such as influence trading, or of clientalistic patterns of vote garnering and pork spending—that may or may not also be perceived as corruption depending on the national context (Kitschelt 2000; Johnston, 2005). However, it is not clear the degree to which any of the existing indexes are able to handle this complexity.

If we were to adopt some version of the standard definition and potentially utilize one of the existing indexes that operationalize it, we still would not be able to point to which parts of the definition are being measured. The indexes cannot be disaggregated into the component parts so that we might have a better understanding of what each is measuring. For example, are illegal bribes weighted more heavily than ‘legal’ influence

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<sup>8</sup> This is still the definition for the corruption indicator for the WGI 2014 (as of 2 May 2015; <http://info.worldbank.org/governance/wgi/index.aspx#doc> ).

<sup>9</sup> The three “most common” were cited to be: Transparency International’s Corruption Perception Index (CPI), the World Bank’s Control of Corruption Index (CCI), and Political Risk Services Group Corruption Index (CI).

markets? This creates problems for causal explanation because the processes of each are driven by different political practices. Numerous articles continue to criticize both existing scholarship and corruption indexes on the messiness of cross-national approaches to corruption measurement (Anderson and Heywood 2009, Atkinson 2011, Brown and Cloke 2011, Donchev and Ujhelyi 2014, Tverdova 2011). If I want to argue that corruption leads to regime instability, there is no way of opening the black box that the indexes have placed around their corruption measurement in order to see what aspect of corruption may be more problematic than another. Nor, could we see whether some parts are having a stabilizing effect proposed by Kitschelt and Wilkinson (2007) in order to explain confounding factors that keep highly corrupt nations from tipping into instability.

Further, corruption by its nature is something that seeks to avoid detection—as is the case with most illegal acts or legal but unfair practices. Some corruption studies show that individual corruption cases require many months to years of investigation and legal prosecution (Wells, 2012). For this reason, one could question whether we have a reasonable chance of creating an accurate measure of corruption when it can be so difficult to determine its prevalence. Daniel Kaufmann addresses this skepticism in a 2006 paper, “Measuring Corruption: Myths and Realities.”<sup>10</sup> He argues that foremost among the ways that corruption can be measured is the “informed views” of relevant stakeholders. This is premised on individuals “actual experience” with corruption as opposed a mere perception (Kaufman et al., 2006:1). The implication is that individual

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<sup>10</sup> Kaufman headed the development of the World Bank’s Governance and Anti-Corruption section.

level surveys of local inhabitants might be the best way to measure levels of corruption.<sup>11</sup> The validity of using public perceptions survey research as a measurement tool has been extensively reviewed. The Kaufman World Bank paper and the edited volume by Sampford et al. (2006) suggest that survey research is adequate, but other scholars of public perception raise important points of consideration.

Andersson and Heywood (2009) analyzing work done by previous scholars others point to a gap between overall perceptions of corruptions and experienced corruption.<sup>12</sup> However, such critiques fail to account for experienced corruption that extends beyond the surveyed period (...in the past 12 months in the TI survey). For example, Andersson and Heywood illustrate their point using a comparison between the Czech Republic and Ukraine. Ukraine had a much higher percentage of citizens paying bribes but similarly high levels of perceived corruption, which they suggest is problematic because perception does not appear to be linked to experience. Yet, the Czech Republic still has one of the highest rates of bribe payments in that region—and one of the highest examined in Eastern European EU states (surpassed only by Romania) averaging approximately 15%.<sup>13</sup> Compounding this percentage over time and considering social ties, many citizens will have direct contact with bribe payment and/or personally know someone

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<sup>11</sup> According to public opinion research, one could suspect that public perception is in part influenced by the elite discourse external to individual perceptions that has framing or priming effects on their attitudes (Chong and Druckman, 2007a, 2007b). Zaller and Feldman (1992) argue that in order to ground survey research in what can be considered true attitudes (“crystallized” attitudes from Converse and Markus, 1979), researchers should follow three axioms. Relevant to the current discussion is their third axiom that considers the accessibility of a given topic to a respondent—is the topic salient to the person at the moment of questioning. Thus, someone who as recently experienced corruption will certainly have a salient, crystallized attitude, and public opinion surveys would be an adequate tool.

<sup>12</sup> Following up on their source (Weber Abramo, 2007, p. 6), the finding is that no statistical relationship was established between individual experience of corruption and their general perception of corruption in the TI barometer. More recently Donchev and Ujhelyi (2014) confirm this finding.

<sup>13</sup> Taken from a review of Transparency International Corruption Barometer waves from 2004 to 2010.

who has. Therefore, the problem may not be that the measurements are wrong, but that there is a measurement sensitivity issue when studying highly corrupt countries.

The influence of the media raises a second corruption measurement validity concern in both the public opinion and corruption literature (c.f. Bishop 2004, Heywood 2007). If corruption perceptions are driving by media impressions and not a more locally based experience, there could be the potential for corruption measures to reflect the volume of reporting rather the quantity of corrupt acts. The media is thought to be the primary driving force behind public perceptions, but one that also reinforces previous direct experience with corruption as paying bribes (de Sousa et al., 2012).<sup>14</sup> Thus, this is a concern that cannot be fully resolved here, but it measurement validity issue should not be detrimental to my research.

In the end, much of this debate on measurement of actual corruption is mooted by the fact that political action is driven by preferences that have equal force whether or not they derived from a valid source.<sup>15</sup> Instead, we should consider what effects are cause by corruption perceptions—valid or not. An economic analysis focused on the harms of corruption on economic growth may want to look at the market distortions caused by different types of corruption—in which case bribery may play an important role. However, in this study we are examining what aspects of corruption are driving people to perceive it to be a serious problem, which will then allow us to then see if relationships exist between it being a serious problem and questions of regime support and stability.

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<sup>14</sup> For this reason, the media is seen as an indispensable check on political power that otherwise could engage in corruption with little public scrutiny (Funderburk, 2012; Vogl, 2012).

<sup>15</sup> A similar sentiment can be expressed on other matters of public import like economic performance, where it can be difficult for the public to reasonably infer how much credit or blame an incumbent should receive for the state of the economy (Powell and Whitten, 1993; Anderson, 2000; Kayser and Wlezien, 2011).

## **Establishing Problematic Corruption**

Given the concerns that corruption plays an important role in reducing regime legitimacy and thereby its stability, there is relatively little research that tests the linkage between corruption, regime stability and internal conflict. Anderson and Tverdova (2003) examine corruption, measured with the Transparency International CPI, and system support to establish a baseline concern that it reduces support for a government. In a similar vein, (Linde 2012) looks at a particular type of corruption, procedural fairness, and finds that perceptions of procedural fairness “are the most important determinants of system support” in post communist EU states (p. 410). Taydas et al (2010) make one of the first linkages of quality (defined as impartial, non-corrupt) institutions and its role in reducing the onset of conflict. This quality of government as an antithesis to corruption seems to cut across a wide range of countries (Rothstein 2011, Gilley 2006). However, none of these studies have attempted to establish a local context to better capture facets of corruption that are important to the population.<sup>16</sup> Instead, they are cross-national studies that are problematic because of the cross-national bias discovered by Donchev and Ujhelyi (2014).

This case study does use a micro-level analysis by looking at individual responses to experienced and perceived corruption. It is necessary to discern which corruption issues are truly problematic. Previous work on corruption notes that not all types of corruption are perceived to be overly concerning by local citizens. For example, a case study on perceptions of corruption in Estonia suggests that “both public officials and citizens are more likely to engage in corruption when they do not define corruption as

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<sup>16</sup> Gilley does use individual survey responses from the World Values Survey but uses proxy values that are not necessarily directly tied to corruption, but are related to system fairness (i.e. confidence in policy and judicial system).

wrong, and when they perceive that corrupt behavior is widespread among their peers” (Tavits, 2010, 1257). This social learning affect that corruption was not seen as wrong did not hold when a person had been extorted. (Ibid, 1274). This suggests two things.

First, different types of corrupt behavior can be perceived by individuals as more or less acceptable. Heidenheimer (1989) suggests that types of corruption can be seen as a continuum from black or definitely corrupt behavior that “elite and mass opinion would condemn and would want to see punished as a matter of principle” to white or definitely not corrupt behavior for which “the majority of both elite and mass opinion probably would not vigorously support an attempt to punish” (p. 161). The middle area or grey area demonstrates some ambivalence by certain segments of the population (Ibid). Other research also points out that different sub-populations perceive the same acts to be more or less corrupt. For example, politicians may see taking a golf outing from a lobbyist as a perk of the job, but voters may perceive this to be wrong (Peters and Welch 1978). In these two examples we find potential for debate in the grey area as elite opinion is diverging from the mass population. In the first case, Heidenheimer suggests that without popular support for elite driven corruption laws it would be difficult to convict someone in a court of law as juries would not support the law—thus making enforcement unlikely.<sup>17</sup> However, in the second instance where elite politician nonbelief in the corruption of behavior could still have consequences at election time.

Second, when corrupt behavior extorts or takes from someone, we should expect that to be seen as highly problematic by the person being exploited. These actions go

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<sup>17</sup> Yet, there are other examples of the need for elites to make positive changes. The same chapter Johnston’s research shows that certain corrupt acts were tolerated in Chicago until federal actors took strong action. Other research has shown the need for policy entrepreneurs to increase the likelihood for change of corruption policy (Navot and Cohen 2015). This research is consistent with collective action literature on the importance of leadership (Lichbach 1998), and the increasing realization that corruption is often a collective action problem (Persson et al 2013).

beyond the grey area of impartiality or social acceptability of certain behaviors and represent a concrete loss to those who are impacted. Applying this to the Afghanistan context, we should expect a divergence of support in cases where elite/official action is at odds with public beliefs of acceptable behavior. It is necessary to allow public opinion to define proper behavior as the legal code or elite opinion may diverge from what is actually deemed to be acceptable.

Such a definition of corrupt behavior is consistent with a “public opinion” method of defining corruption (Heidenheimer and Johnston, 2002). It differs from other approaches that rely on interpretations of the “public interest” or on local legal definitions (Ibid.) The problem with “public interest” is with who is defining what the public interest should be—where there is a long scholarly tradition of worrying over the cultural-Western- bias that may be introduced under such a method (c.f. Brown and Cloke 2011). The legal definition may seem to be less problematic since local governments are adopting the rules. Yet, it is not hard to imagine cases where international pressure has ensured that a law has been adopted into the legal code, but there is little support for local adherence to the law. The public opinion method is not without problems since we are essentially left to ‘define’ corruption by a distribution of the population rather than a definition that has definite boundaries. This characteristic does not provide clean boundaries, but it does allow for a more nuanced consideration of population attitudes toward different behavior. Since we are concerned with public support, then the public opinion approach allows us to observe the relationships that exist at an individual level and can account for individual variation.

## **Data and Methods**

The data used for this paper is drawn from two waves of surveys conducted by the International Security Assistance Force conducted in December 2008 and March 2009.

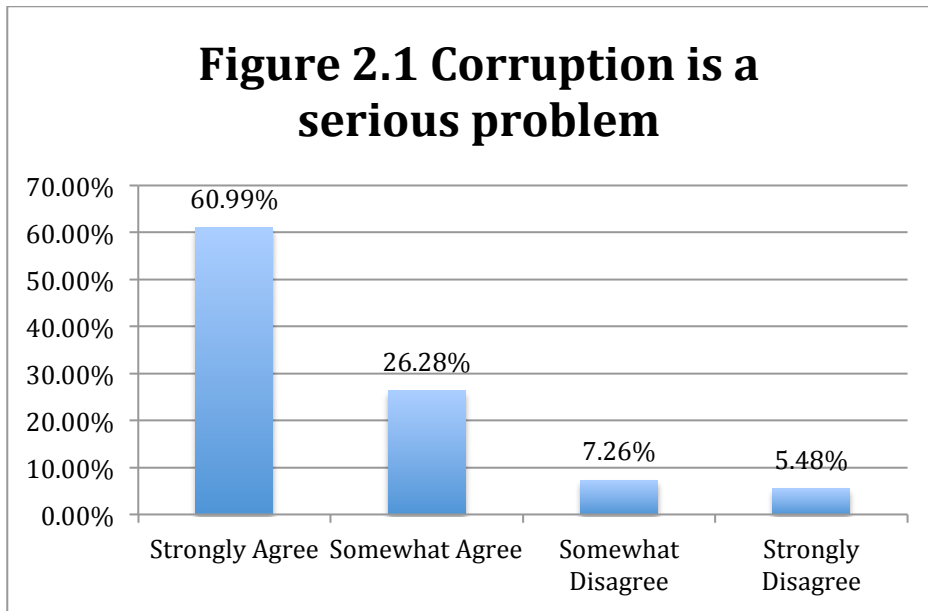
The survey is particularly well suited to answer the question at hand because it asks a range of experiential and perceptual questions that are potentially considered corruption in Afghanistan.

The dependent variable is perceptions of corruption. Respondents were asked whether they 'Strongly Agree,' 'Agree somewhat,' 'Disagree Somewhat,' or 'Strongly Disagree,' to the following question: "Do you agree or disagree that corruption a serious problem in the government?" Approximately 61% of respondents reported strongly agreeing corruption is a serious problem the others stating they: Agree Somewhat (26.28%), Disagree Somewhat (7.26%), Strongly Disagree (5.48%).<sup>18</sup> I recoded the variable into a binary dependent variable with those strongly agreeing that corruption is a serious problem coded as 1.

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<sup>18</sup> These proportions are similar to the results of the 2008 and 2009 Surveys of the Afghan people conducted by the Asia Foundation. In 2009, 53% of respondents reported that corruption was a major problem in their daily lives and 51% reported it to be a major problem in 2008. Sixty-six percent of respondents reported the government was doing a very bad or somewhat bad job combatting corruption in 2008 (68% in 2009); 76% reported corruption being a major problem in the country in both 2008 and 2009, 63% and 61% in their respective provincial governments in 2008 and 2009, respectively and 53% in local authorities in both years (Rennie et al 2008; Rennie et al 2009).





### Key Variables

As previously discussed, perceptual questions are one way to measure how citizens develop an overall understanding of corruption in their country. The survey allows for two important areas of the government to be tested that examines the perceived performance of appointed officials, and the state of the national court system. The first area is the performance of district and provincial level government leaders. The question asks whether an individual believes the provincial and district governor “misuses his power?” Because the executive (the President) appoints provincial and district governors, we would expect that their behavior would be linked to the national system of governance. Therefore, misuse of power at the provincial or district level should negatively impact opinion of the national government’s handling of corruption.<sup>19</sup>

Similarly, the second tested variable asks, “Do you believe there is corruption in the State

<sup>19</sup> While some literature discuss political elite corruption in a general way (Heywood 2007) or that they are targets of media probes (de Sousa et al., 2012), there is little formal investigation of this factor.

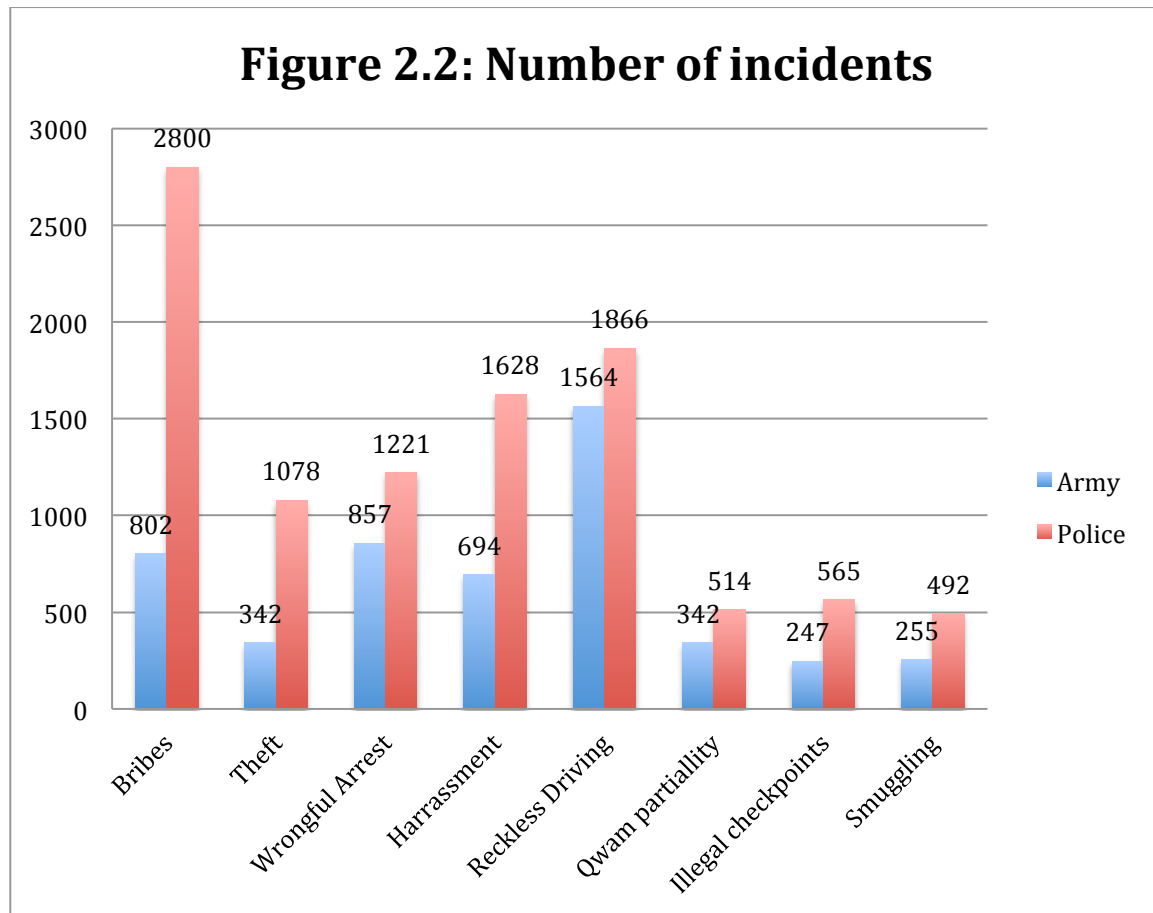
Court System?” Belief that there is court system corruption should also increase the belief in corruption being a serious problem—but in both cases only to the extent that these forms of corruption are considered serious.

The benefit of using these two variables is their ability to capture blame for corruption on political leadership, and thus capture the performance expectation investigated in research on political elites and parties. Additionally, targeting the court system which would normally process lapses in law serves to capture a range of items that individuals would feel should acted upon, but are not. Combined, these two variables act as a control on the perceptual dimension of corruption, and allows for experienced corruption to demonstrate its relevance.

Finally, we should account for the perceptual portion of corruption Rose-Ackerman (2007) describes as a tainting of ideal. In Afghanistan, a number of government behaviors could be seen religiously or culturally impermissible, and failure to uphold them could be seen as corrupt. The question: Does the government respect the religion and traditions of Afghans?” allows us to account for this moral dimension of corruption. It also acts to strip moral sentiments from the other variables to arrive at a more definitive characterization of the harm to self and interest caused by other corruption components.

Therefore, we turn to the second piece of corruption measurement to determine what corruption individuals have personally experienced. These items more firmly root the investigation of corruption in informed ‘crystallized’ opinion. While it would be difficult to capture every possible corrupt act in a highly corrupt state, having a range of possible corrupt behaviors helps tease out the types of acts that are considered truly

corrupt. A broad enough range of experienced acts allows for a typology to be established that would begin to approximate Heidenheimer's (1989) spectrum of corruption from grey to black.



The survey asks a battery of questions to determine if an individual has seen or heard of police or the national army doing improper acts in their *manteqa* (community). There are eight improper acts that could have been experienced: bribes, theft, wrongful arrest, harassment, reckless driving, *qawm*<sup>20</sup> partiality, illegal checkpoints, and smuggling (See Figure 2.1). Where Heidenheimer does not speculate on psychological principles that would drive the characterization of grey and black corruption, I base my typology on

<sup>20</sup> *Manteqa* translates to community or people of a shared locality. *Qawm* is slightly more complex. It could roughly translate to tribe or ethnic group, but can be more inclusive to apply to the people living in one's village (Barfield 2010).

expectations from prospect theory's usage in political psychology. The theory is based on experimental research by Kahneman and Tversky (1979). They found issues to be most relevant to political behavior by determining whether there was a gain or loss presented by a given situation (McDermott 2004). Losses are seen as more concerning than potential gains. Therefore, my typology groups improper acts by the extent to which they cause losses to an individual. I group bribes and theft together as they are the most likely to 'cost' an individual affected.<sup>21</sup>

Next, harassment, illegal checkfees<sup>22</sup>, and wrongful arrest are grouped together since they represent an inconvenience or indignity, but not necessarily a true cost. Finally, qvam partiality, smuggling, and reckless driving do not necessarily have a negative impact on an individual. Rather, these actions can be more or less culturally expected. Based on the loss incurred to an individual, we can expect that first group of experiences to impact the perception of corruption the most. The second group may be less a corruption issue, and may be more appropriate as a performance issue, and the last group may not be considered corruption at all. Alternatively, McDermott points out that there is a potential for an emotive calculation in prospect theory though which a sentiment affects the decision-making process positively or negatively and there is a potential for outweigh the effects of material costs. Therefore, I may find that harassment or wrongful arrest is considered more serious than paying bribes.

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<sup>21</sup> In this case, bribes paid to the police and army have no tangible benefits and should be very similar to outright theft. This differs from bribes as a type of fee for service that have been seen more positively as serving a function to overcome burdensome bureaucracy (Merton 1968).

<sup>22</sup> Illegal checkpoints/checkfees could be somewhat troublesome as it could either fall into an inconvenience category or the loss category. Since, it has the potential to be seen as a fee for service—it is a way for the police and army to establish security—it may not be as problematic as an outright demand for money, which case an individual could classify it as a bribe. Since bribe is asked first in the list of improper acts it is likely that those types of interactions are predominantly captured there.

## **Control variables**

Additionally, Tverdova (2011) suggests the need to control for trust. She points out that previous authors (McCann and Dominguez, 1998; Redlawsk and McCann, 2005) have pointed that perceptions of corruption and individual trust are related (2011, p. 4), but it is difficult to resolve the direction of causality (Ibid., 10). In this case, including a trust variable helps parse trust from the other perceptual variables of corruption. For example, Ansary's (2012) history of Afghanistan discusses a general mistrust of Kabul ruling elites by rural Afghans. It is necessary to separate a general attitude of mistrust from corruption perceptions to have greater confidence that the measure of perception is targeting corruption attitudes—and not linked to a more ambiguous sentiment of suspicious for government leaders in general. Thus, controlling for trust of the regime, better enables us to investigate the component parts of corruption.

I control for several key demographic factors. Donchev and Ujhelyi (2014) have recently upheld findings that individual characteristics affect corruption perceptions apart from actual experience. Education and age were consistently found to impact corruption. Most recently Donchev and Ujhelyi found that as people get older they were less likely to perceive corruption. They also find that higher level of education increased the perception of corruption. Employment status and income had mixed results, and gender had no effect (see Table 7, p. 327). However, because gender roles and identities are so prevalent in Afghanistan, it may be more of a factor here. If this proves to be the case, it is likely that men would a greater perception of corruption due to women being more sequestered at home and less likely to experience or hear about it. This survey can control for all expect for level of income since this was not asked, and given that it previous had

mixed results presents no serious theoretical or methodological issue with its omission. Finally, a district geographic variable is used for to help control variation in regional attitudes, and disparities of perception due to factors like level of national level government penetration.

## **Findings**

Using logistic regression (see Table 2.1), I examine the extent to which factors influence the perception that corruption is serious problem. All of the perceptual variables (after controlling for trust) affect perceptions of corruption as being a serious problem.

**Table 2.1. Logit Models for Corruption is a Serious Problem**

Independent Variables	Model 1		Models 2-5	
	Odds Ratio	S.E.	Odds Ratio	S.E.
Age	1.001	(0.002)		
Gender	1.127**	(0.049)		
Education	1.013	(0.018)		
District	1.000	(0.000)		
Trustworthy Messaging	1.060*	(0.026)		
Respect for Religion	1.204***	(0.031)	Model 2	
Doesn't respect at all			Reference Category	
Doesn't very much respect			.683***	(0.069)
Somewhat respects			.904	(0.084)
Completely respects			1.269*	(0.118)
Police Improper Acts (Y/N)	0.988	(0.044)		
Army Improper Acts (Y/N)	0.876**	(0.043)		
Corruption in Court System	2.101***	(0.066)	Model 3	
None at all			Reference Category	
A little			0.976	(0.068)
Very much			2.980***	(0.207)
Provincial Misuse of Power	1.195***	(0.038)	Model 4	
Never			Reference Category	

Sometimes		1.054	(0.052)
Most of the time		1.479***	(0.096)
District Misuse of Power	1.098**	(0.036)	Model 5
Never			Reference Category
Sometimes		1.076	(0.053)
Most of the time		1.211**	(0.080)

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

Models 2-5<sup>23</sup> re-estimate Model 1, but disaggregate several of the key categorical independent variables as dummy variables for ease of interpretation. Model 4, for example, shows that the odds of perceiving corruption as a serious problem are 47.9% greater for respondents who believe that the provincial government misuses power ‘most of the time’ compared to those who responded ‘never.’ Perceptions of district governors misusing power ‘most of the time’ increases the odds that Afghans will see corruption as a serious problem by 21.1% over the baseline of attitude that the district governor never misuses power. The relatively large difference in effect across the levels of government may be linked to the increased power and autonomy of provincial level governors who retain most of the budgetary and executive power in a province, whereas district level governors have fairly little power and extremely limited budgets (Evans 2004). Due to the corruption literature’s lack of attention of the effect governmental leaders on corruption perceptions, this is an important signal that more studies consider its impact.

The perceived corruption in the court system has the largest effect on corruption being seen as serious. The odds of viewing corruption a serious problem increases by a factor of three for someone who believes there is ‘Very Much’ corruption in the State Court system compared to those who see no corruption the court system. As expected,

<sup>23</sup> The five models are fully specified, including all other variables as in Model 1. To simplify the presentation of the results, I have omitted the coefficients for the remaining variables.

negative experiences with the court system appear to signify a deep concern about the state of Afghan governance and the seriousness of corruption. Further, this finding probably accounts for the relative popularity of the Taliban shadow court system in Afghanistan. Farrell and Giustozzi's (2013) field research in Helmand Province found many elders who appreciated the swift, if harsh, justice provide by the shadow courts. They recount one interviewee stating that in order to settle a dispute with someone over one *jerib* of land, you would have to sell 20 to pay the courts (p. 862). Given this connection, we would expect tackling corruption in the court system to be a very significant issue to focus on in future chapters.

Contrary to expectations, respondents who believe that the government respects religion are more likely to perceive that corruption is a major problem. This result is somewhat puzzling, an explanation for which is not immediately apparent. Almost 80% of respondents believed that the government somewhat (28.18) or completely (51.63) respects religion. Given that most Afghans are devout Muslims and traditionalist, this variable may be picking up some underlying factor not accounted for that links the salience of these issues to citizens.

Only the aggregate variable for individuals experiencing corrupt behavior at the hands of the Army is significant. However, its effect is in the opposite direction than expected—the odds of thinking corruption is a serious problem are 12.4% lower for an Afghan who has experienced or observed an act of National Army corruption. Examining the full model with all of the police and army incidents included confirms that none of the



experienced events are contributing to the population's view that corruption is a serious problem.<sup>24</sup>

It appears that the findings of Abramo (2007) and Donchev and Ujhelyi (2014) that experiences do not significantly affect corruption perceptions are upheld in this case. However, as discussed in the theoretical section in relation to Andersson and Heywood's (2009) discussion of the Czech Republic, it may be that people experience so much corruption in Afghanistan that these incidents do not register an effect on the overall perception of corruption. Donchev and Ujhelyi (2014) suggest that in highly corrupt countries, individuals may have decreasing levels of sensitivity to the experience of corruption. Putting it in Bayesian terms, the individuals have such high priors in their expectation to experience corruption, that one more experience ("signal") makes an essentially indistinguishable rise in their perception ("posterior belief") (p.313).<sup>25</sup>

In a similar vein, individuals may have reached the ceiling in the scale of measuring the seriousness of corruption—where many already strongly agree that it is serious and the additional experience of another corruption event is unable to push them up any higher. Given this possibility, I run the full corruption incidents model against a government performance dependent variable using a logistic regression that will be covered in depth in the next chapter. There was greater variation in responses to this question, with the mode of responses being in the center of the scale when asked: 'How well does the government do its job overall?' Therefore, given that one of the corruption incidents is pertinent to government performance we would be less likely to run into a

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<sup>24</sup> See also Table 2.2: Two Army categories are significant, but are again in the wrong direction with no logical theoretical explanation for it.

<sup>25</sup> This Bayesian thinking does suggest that the corruption perception questions are able to inform our understanding of factors contributing to Afghan priors on corruption.

ceiling effect as a reason why it does not impact the dependent variable. (See Government Performance model in Table 2.2).

**Table 2.2. Full Incident Models for Corruption Problem and Government Performance**

	Corruption		Government Performance	
Independent Variables	Odds Ratio	(S.E.)	Odds Ratio	(S.E.)
Age	1.001	(0.002)	1.000	(0.002)
Gender	1.114*	(0.048)	1.030	(0.044)
Education	1.014	(0.018)	1.083***	(0.019)
District	1.000	(0.000)	1.000	(0.000)
Trustworthy Messaging	1.06**	(0.026)	1.236***	(0.031)
Respect for Religion	1.210***	(0.031)	1.225***	(0.032)
Police: Bribe Taking	0.994	(0.054)	0.838**	(0.047)
Police: Theft	0.971	(0.078)	0.655***	(0.058)
Police: Wrongful Arrest	0.874	(0.068)	0.834	(0.066)
Police: Harassment	1.102	(0.075)	0.906	(0.062)
Police: Illegal Checks and Fees	1.238	(0.140)	0.954	(0.106)
Police: Reckless Driving	1.040	(0.066)	0.993	(0.062)
Police: Qawm Partiality	0.877	(0.100)	0.914	(0.106)
Police: Smuggling	1.276*	(0.159)	0.798	(0.102)
Army: Bribe Taking	0.685***	(0.060)	0.843	(0.077)
Army: Theft	0.886	(0.115)	0.798	(0.113)
Army: Wrongful Arrest	0.799**	(0.069)	0.801*	(0.075)
Army: Harassment	1.019	(0.099)	0.742**	(0.076)
Army: Illegal Checks and Fees	1.085	(0.173)	0.901	(0.145)
Army: Reckless Driving	1.078	0.073	1.044	(0.070)
Army: Qawm Partiality	0.972	(0.135)	1.041	(0.148)
Army: Smuggling	1.042	(0.168)	1.073	(0.173)
Corruption in Court System	2.08***	(0.065)	0.899**	(0.028)
Provincial Misuse of Power	1.202***	(0.039)	0.674***	(0.022)
District Misuse of Power	1.088**	(0.036)	0.853***	(0.028)

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

Here we see that both the loss (police bribes and theft) and inconvenience (Army wrongful arrest and harassment) incident variables cause a reduction in the belief that the

government is doing its job well.<sup>26</sup> Police bribes decrease performance evaluations by 16.2% compared to the baseline of not paying a bribe, and 34.5% reduction for theft, suggesting that the intensity of the corrupt act (merely paying a bribe versus out right theft) affects performance evaluations. The significance of Army wrongful arrests and harassment that was initially theorized to be an inconvenience, may actually display stronger negative emotive connections causing individuals to decrease the odds of seeing government performance as good by 19.9% and 25.8% respectively. The fact it is a greater issue with the Army than the police may also indicate that being confronted by the Army (likely under the pretext of supporting the insurgency) would be more traumatic than a similar confrontation with the police.

## **Conclusion**

My research question asked what types of corruption are seen as serious problems in Afghanistan. The findings here suggest that court system corruption and national government appointed regional leaders' misuse of power is a serious problem among Afghans. While it initially appeared that the experience of corruption is inconsequential to the overall perception of corruption, an alternate model showed that these incidents do affect perceptions of government performance. The implication for research on corruption in countries considered to be highly corrupt is the need to consider the dependent variable carefully, since an already high level of negative public perceptions may thwart efforts to properly estimate the effect of independent variables. Otherwise, the re-specification of the dependent variable to government performance allowed for a better assessment of the corrupt incident reporting on public perceptions. I find some

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<sup>26</sup> It also appear to have resolve the direction issue with the respect for religion and tradition variable in the corruption problem model. Interpretation on this point will be covered in the next model.

evidence that Afghans do differentiate between grey and black corruption, and that merely considering in importance of loss in prospect theory may underappreciate the emotional importance of corrupt state actions. Further research is warranted on developing a more robust corruption typology with surveys specifically design for the task to confirm and extend this initial step. I will attempt to extend these insights in the subsequent chapters.

### **Chapter 3: Popular Support in conflict**

Regime legitimacy, understood as popular support, is fundamental to fighting irregular wars, such as the current civil wars in Libya and Syria, and the ongoing insurgencies in Iraq and Afghanistan. The U.S. Army Counterinsurgency Field Manual (2007), for example, states that legitimacy is the “main objective” in winning these conflicts and having the government resume effective control of the state (p. 1-21). Since these irregular wars are fought among the population, the support the population is willing to provide the government and allied security forces can facilitate uncovering insurgent networks and defeating the insurgency. Conversely, if the government is perceived to perform poorly the population can withhold active support and make it easier for anti-government factions to avoid detection.

Beyond its importance in irregular warfare, legitimacy has been highlighted as important for state building (c.f. Fukuyama 2004). In national policy discussions, specifically regarding post-conflict development, legitimacy is viewed as playing a prominent role in returning the state to a functioning rule of law.

Legitimacy suggests that the governed have given consent to the authority of the government and will, therefore, largely abide by the rule of law. However, high levels of state corruption in which officials circumvent the rule of law for personal gain, make it likely that individual citizens will do the same. It makes for a thorny dilemma for national policy executives from donor countries. For example, the 2009 U.S. Agency for International Aid’s (USAID) “Guide to Economic Growth in Post-Conflict Countries,” discusses a “key” trade-off between urgent assistance and reinforcing the legitimacy of the government being assisted. USAID would like to provide needed development

funding to support the improvement of social services, but large injections of cash provide incentives for still corrupt state sectors to continue to violate the rule of law. Agencies, like USAID, must decide whether to provide immediate assistance based on urgent need with the knowledge that part of the assistance will be siphoned off by government officials. The dilemma, however, is that providing assistance may have more severe consequences, beyond perpetuating a corrupt system, for public support and long-term political stability.

In an irregular warfare setting, support is undercut by this type of government corruption. The parts of the society that would have benefited from the international assistance observe, or perceive, that elites are embezzling at least part of this assistance for personal gain. The government needs the population to follow the law and report transgressions by groups seeking to overthrow the regime. Yet, the government's failure to follow the rule of law erodes public support, and ultimately the rule of law. In the context of irregular warfare, this can mean citizens aiding antigovernment forces, through, for example, smuggling arms to insurgents, rather than aiding government forces and its supporting forces, ISAF.

Although there has been large literature examining legitimacy as various dimensions of support through survey research (see e.g., Norris 1999, 2011, Mishler and Rose 2002, Booth and Seligson 2009), this research has limited applicability for exploring the stability of regimes based on levels of support in countries that are experiencing periods of instability or conflict. The literature has focused more on consolidated Western democracies or on states whose regime support is not being actively challenged. This limits the utility of existing understandings of popular support

and regime stability for explaining how more challenged states are affected by popular support. Przeworski (1986, 1991), for example, sharply criticized previous research linking regime stability and legitimacy. In particular, he argued that this purported linkage of legitimacy to regime stability is tautological since regime change is taken as evidence of the illegitimacy of the previous regime. Additionally, he argues that if there is no alternative for the population to support other than the regime currently in power, the test of the relationship is hampered by a lack of choices. One implication of Przeworski's criticism is the need to examine public support for governments, and the factors that influence it, during periods of instability in order to clarify, theoretically and empirically, the impact of support on stability.

Afghanistan (circa early 2009) provides a good case to explore popular support, and the important issues and factors that increase or decrease support for the government, during a period of instability and conflict. As one of the poorest, most corrupt countries in the world, the case of Afghanistan contrasts well with previous work on regime support and stability. Moreover, the study provides an important opportunity to satisfy Przeworski's concern that there be an alternative (i.e., Afghans have a choice of groups to support). The active conflict between the national government and the Taliban means that the population is choosing between two sides – even if neither choice is particularly ideal. Thus, this paper contributes to the literature by evaluating evidence of the dimensions of public support during periods of open conflict. I examine the relevance of different factors of specific and diffuse support using two waves of a nationally representative survey conducted by the U.S. military in Afghanistan in December 2008 and March 2009.

## **Legitimacy as Support**

While some sources in the literature has often pointed to legitimacy as the source of regime stability, its exact meaning is difficult to pin down. Both counterinsurgency texts (c.f. US Army Counterinsurgency Field Manual, 2007) and regime stability scholarship (c.f. Huntington 1968) point to the need for a legitimate government to provide the *raison d'être* for support of the governed. However, more recent scholarly work has moved away from theoretical usage of legitimacy because of the conceptual messiness of the term (Levi 2005; Buttorff 2011). Where the policy and the scholarly community begin to converge on this topic is the linkage of legitimacy to support for the regime by the people under its authority. Most scholars begin with Easton's theoretical elaboration of legitimacy as support—which is manifested as specific and diffuse support (1965, 1975). Specific support refers to attitudes about the performance of the state and its officials in the short and medium term. More abstract diffuse support refers to the opinions of the nation-state as an institution and a person's identification with the set of state institutions. Two of the most recent and widely cited works on support are Norris (2011) and Booth and Seligson (2009). Both use survey data to identify components of support first laid out by Easton. Implicit in much of the work is the expectation that as support wanes, the risk of instability increases.

Booth and Seligson (2009) suggest that dimensions of support must be established for the country in question. This means that a standard list of items should not be assumed to matter in every context. Additionally, Buttorff's (2011) reexamination of



legitimacy, using Stinchcombe's (1968),<sup>27</sup> suggests that diffuse support may be composed of different elements or dimensions than those Norris and others identify in European and Western democracies. In fact, it is likely that norms and doctrines, underlying elements of diffuse support, are precisely those variables that are context dependent. It is also unknown whether the factors of government performance normally related to specific and diffuse support in stable democracies will remain relevant in irregular warfare. Similar to this dissertation's treatment of corruption in Chapter 2, I argue that establishing the elements of support by case is necessary to understand the likelihood of citizens remaining 'compliant' to a government's rule. Since the population's compliance to the incumbent government in irregular warfare settings is seen as a paramount condition for success, an empirical approach is more appropriate to determine the extent of support for the government, and the determinants of it.<sup>28</sup>

Following Butterff's (2011) extension of Stinchcombe's conceptualization of legitimacy, this paper explores a state's ability to secure the support of the population. In Stinchcombe's *Constructing Social Theories* (1968), he defines legitimacy as: "A power is legitimate to the degree that, by virtue of the doctrines and norms by which it is justified, the power-holder can call upon sufficient other centers of power, as reserves in case of need, to make his power effective" (p. 162). Butterff posits that centers of power can fall into three main categories: institutions, social groups, external (non-domestic)

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<sup>27</sup> Stinchcombe's definition of legitimacy is as follows: "A power is legitimate to the degree that, by virtue of the doctrines and norms by which it is justified, the power-holder can call upon sufficient other centers of power, as reserves in case of need, to make his power effective" (162).

<sup>28</sup> There are also normative approaches to legitimacy (Ansell 2001). A normative approach would seek to determine whether by some set of values a government is legitimate. For example, in Norris's research, the normative question under consideration is the extent to which a government upholds democratic values, seeming to equate legitimacy with democracy.

actors (2011, 33). She cautions that we should not focus only on social groupings, but to consider centers of power inherent to institutions such as the courts and military.

Buttorff (2011) revives Stinchcombe's usage of legitimacy to allow for more than popular support to drive our understanding of legitimacy. She finds that at the outset of conflict, the support of one center of power (typically the military) is sufficient to ensure the stability of a regime. This is sufficient at the onset of conflict, but what happens after the onset of irregular warfare? In these cases, the military power has been divided amongst factions, and the irregular war, by definition (Kalyvas 2006), is being conducted amongst the population. The military was not necessarily sufficient to ensure stability, and the logic for warfare has shifted to other political domains. One could argue the main "center of power" is the territory's population during a conflict characterized by irregular warfare. Thus, we have to readjust our analysis to examine population support with two military factions with coercive power vying for control of the state.<sup>29</sup>

In Afghanistan, we have moved beyond whether a center of power can be called upon to a period where the centers have been called on to support (or not) the government. Therefore, we know that the Afghan courts, legislature and military have sided with the national government, and that an external actor (a military coalition headed by the United States) has mobilized to support the government. The remaining question is the extent to which the final center of power—the population—will lend its support to the government. Additionally, a new question is presented. Is the coalition of powers assembled that has come to aid the government one that makes the final center willing to also support the government. Whether a regime can call upon this center of power is an

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<sup>29</sup> The introduction of coercive power is important because can potentially trump other support items.

empirical question that I am exploring here by examining the factors that make individual Afghans more prone to support the government.

The body of literature on local popular support tends to view the ‘population’ in an abstract sense, which can suggest homogeneity. Yet, in reality the ‘population’ is likely to be fractious. For example, Booth and Seligson (2009) suggest that supporting elites may be found among security forces, media, business and external actors. Therefore we should expect some heterogeneity between the citizens and elites, or along other lines of cleavage (Lipset and Rokkan 1967). Stinchcombe is able to handle these divisions by recognizing that norms and doctrines provide the basis for the coalescence and organization of support, which can differ across ethnic and religious affiliations, and influence whether the government can obtain passive or active support from specific groups. Thusly, we might need to conceptualize population “centers of power” to provide a more fine-grained view of those divisions, which comprise the larger abstract center of power, represented simply as ‘the population.’ Research in Western democracies, where survey data is plentiful, scholars are able to parse these dimensions to yield an impressive level of detail.<sup>30</sup>

Rather than focus on determining the subgroupings in the population center of power, this work simply assumes that the population is a center of power, and takes the individual (surveyed) member as the unit of analysis, while controlling for available demographic variables. The approach is consistent with how irregular warfare is often viewed as the battle for the ‘hearts and minds’ of these individuals (Berman et al 2011). There is potential for concern with this formulation. One could argue this is a ‘Western’ reading of the importance the individual in society. Yet, other social systems may operate

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<sup>30</sup> ...in order to, for example, better understand voter support (Rohrschneider and Whitefield 2012).

on different, less individualistic systems, which make the individual as the unit of analysis problematic. Iraq could be one such case, where tribal sheiks tend to, more or less, control blocks of individuals and would be able to guarantee support apart from the individual nuances found within the tribe (McAllister 2005).<sup>31</sup> This is not the case in Afghanistan where tribal affiliations are fractious, capricious, and contingent on individual preferences and opportunity costs. The egalitarian nature of tribal politics in Afghanistan is often suggested as a major obstacle to consolidating the authority of a central government (Barfield 2010, Ansary 2012). It is difficult to read a work on Afghanistan that does not cite a local Afghan saying “me against my brothers; my brothers and me against our cousins; my brothers, cousins, and me against the world” (Barfield 2010, p. 78). It makes strong claims about tribal or ethnic preferences problematic.<sup>32</sup> However, it makes the findings based on individual survey responses less so. This means that an individual focus is appropriate for this study of Afghanistan. Yet, there are still elaborate customs for cooperation and the potential for coalition building so as to not make the level of individualism incompatible with unity under a centralized government.

### **Dimensions of support**

Easton (1965, 1975) disaggregates support into two categories: specific and diffuse support. Specific support resulting from government outputs is based on the citizens’ receipt of the outputs and the perceived responsibility for the bringing of those outputs to fruition. Whether those institutions or an individual actually provided the

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<sup>31</sup> For someone studying a society with this sort of tribal dynamic, you could alternatively argue that the individuals attitudes and preferences would begin to conform to the leader’s preferences.

<sup>32</sup> Here is another formulation: “It’s me against my brothers, it’s me and my brothers against our cousins, it’s we and our cousins against the invader” (Ansary, 2012, 12). In this light it is more clear why Lyall et al (2013) can assert an ethnic effect on levels of support, specifically because foreign forces are an outgroup which individuals are willing to fight...but would fails to assert greater within group effects.

outputs does not matter since the causal relationship we are attempting to observe is between attitudinal support and the perceived receipt of political outputs. Research on economic performance and popular support confirms that perception matter more than independent sources of economic data (c.f. Evans and Whitefield 1995).<sup>33</sup> Diffuse support has typically been equated with democratic values among the population that have been established in the population's psyche.

That a regime may still enjoy some support even without the provision of specific outputs is then explained by diffuse support. Easton (1965) points to a "reservoir of favorable attitudes or good will that helps members to accept or tolerate outputs to which they are opposed or the effects of which they see as damaging to their wants" (p. 273). Simply put, legitimacy or general support is upheld even when dimensions of specific support appear to be underperforming (i.e., when individuals are unhappy with the government's handling of the economy are, nevertheless, still supportive of the system).<sup>34</sup>

Afghanistan could be considered a new democracy without a strong tradition that should allow for continued popular support despite poor specific support. Yet, it does maintain diffuse support. We might account for this disparity in two ways. The first is that the norms and doctrines underpinning the current Afghan government do not rely on solely on a democratic heritage for its diffuse support. Secondly, the alternative to the current government could be seen as less likely to meet support requirements (for both specific and diffuse support) than an underperforming current government.

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<sup>33</sup> This avoids the necessity to introduce data/variables from outside sources related to economic data or violence levels, which given the poor quality of government collection of data makes it a more reasonable approach in Afghanistan.

<sup>34</sup> The relationship between specific and diffuse support has come under increased scrutiny since long periods of poor or declining specific support appear to not have undermined diffuse support, even where there should be rather a shallow reservoir of favorable attitudes, as in new democracies (Booth and Seligson 2009; Norris 2009).

In order to examine these issues, we must return to conceptualizing and measuring specific and diffuse support. Norris (2011) follows Easton's dichotomy but suggests that they might be viewed as a continuum rather than a strict dichotomy. She examines five component indicators of system support. At the diffuse level, we might evaluate national identities and approval of core regime principle and values. For specific support, we can determine citizens' confidence in the regime, regime performance, and approval of incumbent office holders.<sup>35</sup> Booth and Seligson (2009, esp. Ch. 2) add an additional dimension to create six component dimensions: evaluating support for local government (as opposed to the central government alone). The works of Norris and Booth and Seligson concern with values and democracy are consistent with the regime transition literature within which they are nested. Yet, they are inadequate to fully evaluate regime support during conflict because factors like security play a more prominent role.

### **Support in conflict**

There is not much evidence for what aspects of government are the most relevant for support *during* conflict. Under normal conditions, non-conflict conditions, we have evidence that the quality of governance predicts support of the population for the regime (Rothstein 2011). However, this notion of "quality" is typically left out of discussions of governments in conflict. Instead, there is a focus on providing security and 'essential'

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<sup>35</sup> See Norris (2011) figure 2.1 for a graphical representation of the indicators of system support. She places evaluations of "overall performance" of the regime closer to diffuse support than confidence in regime institutions. This works because her description assumes attitudes on the functioning of democracy rather than other outputs of performance—such as economic policy, which seems like it would be closer to specific support concerns than confidence of the regimes institutions. These two indicators are likely to be closely related and the causal direction and relative importance would be difficult to determine. The usage in this paper would push these performance related indicators closer to specific support especially because there is little historical experience for the population draw upon other than the most recent performance indicators.

services. There is little debate over the primacy of security. Kalyvas (2006) has shown that most other factors are only of secondary concern. The policy community's focus on government provision of services during conflict (see e.g., Dziedzic et al 2008) has begun to receive some criticism in a recent edited volume (Gventer et al 2014). Thus, the fundamental elements of support currently subject to debate. The results of this chapter contribute to this ongoing discussion in helping to illuminate those areas of governance that are essential to maintaining specific and diffuse support during this period of conflict in Afghanistan. In particular, this chapter examines whether institutional performance, among other factors, affects diffuse support during an irregular warfare setting.

Other concerns in the literature highlight that the exact components of support are contingent or contextual. This means that the essential components are culturally relative and have dynamic properties that change over time—like during the course of a war. Kalyvas (2006) highlights this in his work by pointing out the support of the population will ebb and flow throughout the duration of conflict. This contingency bedevils cross-national comparisons. Scholars have addressed this problem by focusing on conflict onsets (e.g. Collier and Hoeffler 2004; Fearon and Laitin 2003; Weidmann 2009) and occasionally on the conditions bringing conflicts to a close (Connable and Libicki 2010; Cronin 2009). The first set of statistical findings has weak causal explanations whose mechanisms do not help in prediction of future onsets (Haggard and Kauffman 2012). The latter two works use process tracing to provide a more robust exploration of potential causal processes/mechanisms for ending conflict.

This paper provides a case study that bridges these two types of research. It is unique in that survey data was collected amidst conflict and this allows us to apply some

statistical rigor to other scholarship. These observations can provide partial explanations for the course of conflict in general (theoretically) and to specific observations on the war in Afghanistan. Thus, this paper is limited in its scope and ambition. It can only provide evidence of components of public support that exist during a small window of time (i.e., December 2008 to March 2009). Yet, it does help illuminate the nature of support in Afghanistan at that time and could help with the triangulation of broader observations by past and current scholarship. The most important contribution to the literature is its ability to provide evidence to support discussion of what legitimacy might be within Afghanistan—allowing us to recognize the contours of the ‘centers of powers’ and most importantly the articulation of the relevant ‘doctrine and norms’ that underpin legitimate governance in Afghanistan.

### **Data and Methods**

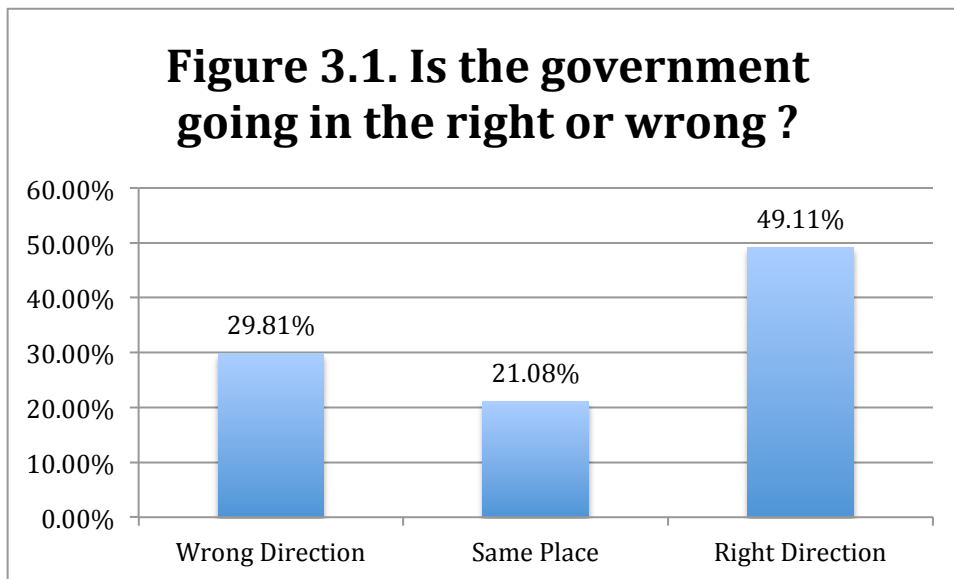
The data used for this paper is drawn from two waves of surveys conducted by the International Security Assistance Force conducted in December 2008 and March 2009. Further information about the survey can be found in the introduction chapter. There are two questions available to gauge the level of support for the Afghan government. The first question is: “Do you believe the Government of Afghanistan is going in the right direction or wrong direction?” Figure 3.1 shows the distribution of responses with 49.11% believing that the government is going in the right direction. This variable is recoded as binary dependent.<sup>36</sup>

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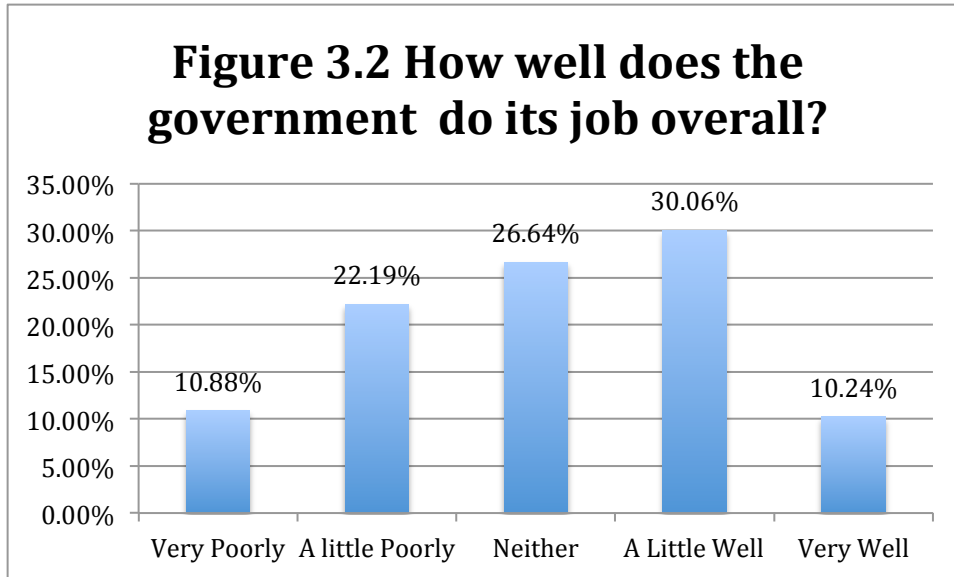
<sup>36</sup> Dichotomizing this question, I group “Wrong direction” and “Same place, not going anywhere” together, as this latter response suggests a negative connotation.



The second questions asks: “How well does the Government of Afghanistan do its job overall?”<sup>37</sup> Figure 3.2 presents the proportion of respondents falling within each possible response category. Our main focus will be on the first question because it allows for respondents to consider the country’s direction as a whole rather than just ask about a current assessment of performance. It should also allow for the respondent to consider their local, provincial, and national experiences and express any forward-looking emotions of a positive or negative outlook.



<sup>37</sup> This five-point scale allows for very poorly, a little poorly, neither poorly nor well, a little well, very well.



However, the second question more closely resembles Norris's (2011) analysis of attitudes toward democratic performance.<sup>38</sup> A second regression will be run on the second question to allow for a comparison and validity check. We should expect the two models to return similar results.

### **Control Variables**

This study controls for the typical battery of demographic variables of age, gender, and education that Norris (2011) identifies as being commonly associated with political attitudes. In regard to the effect of gender, a regime will likely garner more female support if it were seen as increasing female equality. In the Afghan case, the previous regime placed severe constraints on the freedom of women, and women have much greater equality under the current government than under the Taliban. It is also likely that women would have much less if the Taliban regained control. Therefore, it is

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<sup>38</sup> Booth and Seligson approach their regime support research differently by focusing on component dimensions of legitimacy and while trying to determine the individual attributes that increase the likelihood of support.

likely that women will be more likely to support the government. Additionally, Afghanistan's education allows for individuals to attend either traditional schools or religiously oriented schools (*madrassas*). We can examine whether the type of education, as well as the level of education, influences support since the survey asked about both types of education. Given that the Taliban draws its recruits from *madrassas*, and is seen as more religiously conservative (Rasid 2008), we might expect that greater *madrassa* education may reduce support for the Afghanistan government. This survey does not include an income variable, however, I use a proxy question that asks: "Do you think the conditions of your life will improve, worsen or stay the same?" If a person's conditions are improving, it should reflect positively on regime support.

### **Key variables**

At the level of diffuse support, both Norris and Booth and Seligson use measures to establish feelings of nationalism, and specifically whether the individual feels proud to belong to a given country. A lack of national identity can signal disaffection with the regime, and reduced levels of support. In this survey, respondents were asked: "How would you identify yourself first?" The possible responses were: (1) By my nationality; (2) By my ethnicity; (3) By my tribe; (4) Other; and (5) As a Muslim. This variation targets questions raised by historical analysis that, on one hand, represents Afghanistan as a fragmented, Muslim, and tribal society that has changed little since the 1800's, and, on the other, an Afghanistan that was relatively unified under Durrani rule (Barfield 2010, Jones 2010, Ansary 2012). Thus we can gauge respondent's self-identification as an Afghan or to some other societal cleavage. Interestingly, 75% of the population self-identify as Afghans first and foremost.

One might guess this results from the oversampling of more urban areas, but this is not the case as the majority of respondents were drawn from rural villages (See Table 3.1 and Table 1 in the introduction chapter). Further, historical accounts would also suggest that rural inhabitants would be much more inclined to self-identify along tribal or religious lines—which also does not appear to be the case. We could still expect that an Afghan rural-urban split would reduce support for a government if a respondent feels the Kabul-based government does not represent rural concerns.

<b>Table 3.1 Self-Identification vs. Geographic Area</b>					
	Village	Town	City	Metro (Kabul)	Total
Nationality	75.28%	79.09%	86.69%	81.74%	12,739
Ethnicity	12.88%	15.56%	6.81%	11.58%	2,106
Tribe	3.92%	1.46%	0.91%	1.55%	596
Other	2.18%	1.46%	1.36%	2.63%	356
Muslim	5.25%	2.43%	4.24%	2.51%	825
Total	14,506	617	661	838	16,622

As an independent variable, the urban-rule split is portrayed as historically important in the Latin American cases examined by Booth and Seligson, where economic development and wages fluctuated as countries shifted from agricultural to more industrial economies. In Afghanistan, similar variation in the urban-rule split along economic lines has existed, but Barfield (2010) also points to a political elite with little ties to rural communities. Thus, we might expect that individuals in rural villages would have reduced support for the national government.<sup>39</sup> In Afghanistan, it is also important

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<sup>39</sup> Typically, regime support related studies examine individual commitment to democracy in order to examine an additional aspect of diffuse regime support. We do not have the luxury of using the same question here, but it is not clear that we should given the state of conflict and the re-establishment of elections only in 2004.

to control for regional variation to capture the extent of governmental penetration and resources. I control for this by including a district level variable in the regression.

In addition, I control for important societal cleavages that may be influencing support for the Afghan nation government. Some groups may perceive that the Afghan government treats certain groups of individuals poorly and are therefore less likely to support it. A traditional cleavage in the literature on conflict is ethnicity (Horowitz 1985). Including ethnicity allows us to evaluate the extent to which certain ethnic groups are more or less prone to support the government.<sup>40</sup> Another potential cleavage is religion. Booth and Seligson (2009) have greater variation on the religion dimension in the countries they study, where individuals can identify as Catholic or Protestant. The vast majority of the population is Muslim in Afghanistan so including religious identification does not offer any variation. As one of the ‘doctrines of legitimacy’ expected by Stinchcombe and Buttorff, religion is potentially a strong base of support for the insurgents who want to return the government to greater religious conservatism. Several authors have noted that rural communities in Afghanistan are more religious than the Kabul based ruling elite (Rashid 2008, Barfield 2010, Ansary 2012). The question “Does the government respect the religion and traditions of Afghanistan” offers a good proxy for the importance placed on religiosity by individuals. However, the inclusion of respect for “traditions” in the question wording makes it difficult to determine whether the religious or traditions component is the more prominent driver of support.

Finally, Norris (2011) considers trust an important measure of diffuse support for

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<sup>40</sup> In some cases ethnicity may be related to patronage networks that might be considered a specific support consideration (c.f. Kitschelt and Wilkinson 2007), Yet, the way ethnicity is used in Lyall et al. suggests a sociologically abstract basis of support (i.e. homophily (McPherson et al 2001)—which suggests a more diffuse level of support, rather than the material benefits resulting from a specific ethnic connection.

a regime. Less trust in the institution is expected to reduce support for the government. It allows for diffuse support when specific support performance falls short of expectations. Trust is essential to getting citizen support without coercion and facilitates the consolidation of democracy. Mishler and Rose (1997) point out that this is essential for democracies, especially because they cannot rely on coercion. Since Afghanistan is a new democracy, levels of trust should be even more important as it has not built up a reserve of trust. The Afghan government is working against its history on this point as rural Afghans eyed Kabul elite with distrust (Ansary 2012). This survey instrument provides a question that can be used a proxy for general trust. It asks: “How trustworthy do you think the messaging is of the government?”

### **Specific Support**

The classic example of specific support is the degree to which economic performance drives individual support for the government—just as it is prime consideration for party support or voting behavior. The extent to which individuals believe that economic improvement occurs under a regime, the more likely they are to support that government. This is measured with the question: “how well does the Government of Afghanistan do its job in improving the economy?” A related question, “How well does the Government of Afghanistan do its job in development and reconstruction in Afghanistan?,” allows for support to be a function of the government’s ability to manage international donations, a highly salient issue in Afghanistan, to rebuild infrastructure damaged during the war.

The existence of conflict brings to the fore issues that are taken for granted in survey research in nations without open warfare. We must reach back to Weber and

Hobbes to reestablish a population's expectation that the government provide them with a baseline of security. This is a different branch of scholarly inquiry that is not normally incorporated into the regime support and transition literature with its concern for democratic attitudes. The theoretical expectation and empirical results in the literature are that the population will support the group, whether the incumbent government or insurgent faction(s), which provides security to and/or controls the population. This has been argued to play such an important role that it can negate all other considerations of support (Kalyvas 2006).<sup>41</sup> Thus, we examine the effect of perceived security, measured by a self-report of security in an individual's immediate locale, on respondents' assessments of government performance and direction.

More recent research examines support for regimes based on the quality of governance, which ultimately includes the level of corruption. Rothstein (2011), for example, includes accounts for the quality of governments by including a measure of corruption within governing institutions. By doing so we begin to measure a perceived gap (or lack thereof) between the values of society and the individual and institutional failure to live up to these expectations. Including a measure of corruption as an element of support allows for the population to reflect on the perceived performance of governing officials without necessarily identifying the underlying components, as discussed in Chapter 2, driving feelings of a government being corrupt.<sup>42</sup>

## **Findings**

Both dependent variables return very similar results with a few notable differences (See Table 3.2). It appears that the government direction model does tend to

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<sup>41</sup> See Chapter 4 for expanded discussion on this point.

<sup>42</sup> (However, see Chapter 2 for an analysis of the individual components corruption).

capture the optimistic side of Afghans: all of the independent variables, except for two related to ethnicity, show increasing likelihood of positive evaluations regarding the direction of the government. One exception is that individuals self-identifying by ethnicity are less likely (17.6% decrease in odds in Model 1) to think the country is going in the right direction compared to those identifying as Afghans. The second exception concerns the Hazaras. We can see that all ethnic groups except for Hazaras show an increased likelihood of thinking the government is going in the right directions. This is not surprising since Hazaras are the smallest of the major ethnic groups and have historically been marginalized in Afghanistan (Barfield 2010).

Looking at the effects of the independent variables on evaluations of government performance (Models 3-4), the most dramatic difference is the effect of ethnicity. While all ethnic groups are generally optimistic about the direction of the country, they are considerably less likely than Pashtuns to think that government performance is adequate. In Model 3, the odds of positively evaluating government performance are 28.3% lower for the largest minority (Tajiks) and 48.4% lower for Hazaras. One possible explanation for this difference may be the effect of the presidential election, scheduled for August 2009, these groups were looking ahead. All but the Harazas would have a chance to improve their political fortunes, and it was unclear at this point if the incumbent President Hamid Karzai would be running again.

Across all four models, the two specific support items, life improvement and security are in the expected direction. As predicted by Kalyvas, and consistent with counterinsurgency doctrine, security appears to have the biggest impact on support and overwhelmingly on perceptions of the direction of the country.



**Table 3.2. Opinion of Government Direction and Performance**

	Direction		Performance	
	Model 1	Model 2	Model 3	Model 4
	Logit	Ologit	Logit	Ologit
Age	0.999 (0.00167)	-.0001 (0.001)	1.001 (0.00146)	0.001 (0.001)
Gender	0.868** (0.04)	-.0044836 (0.039)	1.009 (0.0408)	-0.047 (0.034)
Education	1.023 (0.02)	.0140 (0.017)	1.093*** (0.0186)	0.074*** (0.015)
Self Identification (Reference: As Afghan)				
By ethnicity	0.825** (0.0531)	-.192*** (0.055)	0.958 (0.0553)	-0.015 (0.049)
By tribe	1.078 (0.12)	-.012 (0.094)	0.675*** (0.0737)	-0.415*** (0.087)
Other	0.824 (0.128)	-.265* (0.128)	1.105 (0.146)	0.122 (0.112)
As Muslim	0.873 (0.0955)	-.088 (0.094)	0.842 (0.0843)	-0.230 0.083**
Life Improvement	1.190*** (0.0341)	.160*** (0.024)	1.120*** (0.0286)	0.169*** (0.022)
Security Improved	2.039*** (0.0688)	.720*** (0.029)	1.551*** (0.0465)	0.465*** (0.026)
Geographic Area	0.791*** (0.0512)	-.130* (0.055)	0.907 (0.0511)	0.002 (0.048)
Ethnicity (Reference: Pashtun)				
Tajik	1.153** (0.0615)	.080 (0.045)	0.717*** (0.0335)	-0.146*** (0.040)
Uzbek	1.568*** (0.145)	.381*** (0.073)	0.912 (0.0649)	0.103 (0.061)

Hazara	0.834* (0.0768)	-.263** (0.077)	0.516*** (0.0438)	-0.444*** (0.069)
Other	1.442*** (0.124)	.134* (0.068)	0.751*** (0.0537)	0.014 (0.059)
Trustworthy Messaging	1.362*** (0.0349)	.308*** (0.022)	1.308*** (0.031)	0.271*** (0.020)
Respect Religion	1.333*** (0.0348)	.301*** (0.023)	1.265*** (0.0322)	0.251*** (0.021)
Corruption Problem	1.147*** (0.0304)	.110*** (0.021)	1.062** (0.0238)	0.068*** (0.019)
Observations	12020	12020	12470	12470

Standard errors in parentheses. Logit coefficients presented as odds ratios.

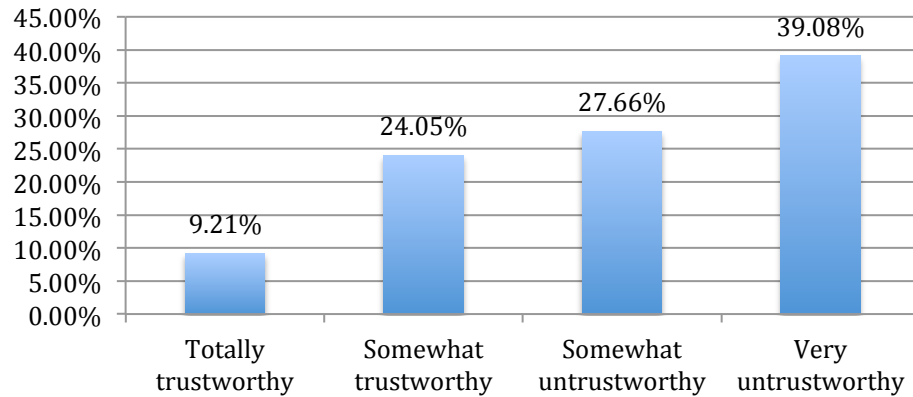
\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

Turning to other diffuse support items, greater trust in the government increases support as expected, as does perceptions that the government respects the religion and traditions of Afghanistan. Given that a solid majority of Afghans consider government messages trustworthy and the government to be respectful of religion of tradition, it appears that the government enjoys support according to the criteria laid out by Stinchcombe. The extent to which people believe corruption is not a serious problem reflects positively on regime support. However, given that a vast majority don't is problematic for support—yet, it provides evidence that remedying the corruption perception problem would be beneficial for increased regime support. Further, the effect of geographic area, discussed in some histories of Afghanistan, appears to not hold. Rural areas show more support for the government over more populated areas. This may not be all good news since less populated, more rural areas, are also less likely to have contact with its corruption.

A more complete analysis of this case would include an examination of support specifically for the Taliban. However due to the sensitivity of the topic and the safety of the interviewer the same questions were not asked about the Taliban. Lyall et al. (2013) argue that asking potentially sensitive questions about an armed belligerent requires survey experiments designed to avoid individuals from having to reveal their preferences. The survey does include an appropriate proxy which asks about the trustworthiness of Taliban messaging (See Fig 3.3). While far from perfect comparison, it can nonetheless help shed light on the loss of support experienced by the Afghan government and the gains made by the Taliban. The logistic regression results are presented in Table 3.3. For ease of comparison, the results of Model 3 in Table 3.2 are reproduced here. Importantly, the regression results reveal that corruption perceptions do result in a gain of trust in Taliban messaging. This solidifies concerns that both the government and its external partner (ISAF) should pay closer attention to corruption's ability to hinder the war effort.

It is also concerning that the geographic area variable shows the odds of trusting Taliban messaging are 20.05% larger in populated areas over rural areas. If this variable does capture, at least in part, government penetration and contact then the government could be alienating citizens by sheer ineptitude. Additional, analysis is needed to confirm whether this is indeed an accurate interpretation. However, these two negative trends are counterbalanced by the governments ability to improve life conditions and provide security. Further, the Taliban (a predominately Pashtun movement) has little chance to make much progress in communities populated by Afghanistan's ethnic minorities.

**Fig 3.3. How trustworthy is Taliban messaging?**



**Table 3.3. Trust in Taliban vs. Government Performance**

Independent Variables	Trust in Taliban Model 4		Performance Model 3	
	Odds Ratio	S.E.	Odds Ratio	S.E.
Age	1.000	-0.002	1.001	0.6
Gender	0.929	-0.039	1.009	-0.041
Education	1.029	-0.018	1.093***	-0.019
Self Identification (Reference: As Afghan)				
By ethnicity	1.578***	-0.09	0.958	-0.055
By tribe	1.723***	-0.168	0.675***	-0.074
Other	1.09	-0.151	1.105	-0.146
As Muslim	0.875	-0.089	0.842	-0.084
Life Improvement	0.971	-0.025	1.12***	-0.029
Security Improved	0.795***	-0.024	1.551***	-0.046
Geographic Area	1.281***	-0.075	0.907	-0.051
Ethnicity (Reference: Pashtun)				
Tajik	0.677***	-0.033	0.717***	-0.034
Uzbek	0.508***	-0.042	0.912	-0.065
Hazara	0.631***	-0.055	0.516***	-0.044
Other	0.641***	-0.049	0.751***	-0.054
Message Trustworthy (Gov.)	1.009	-0.024	1.308***	-0.031

Respect Religion (Gov.)	0.783***	-0.019	1.265***	-0.032
Corruption Problem	1.092***	-0.025	1.062**	-0.024

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

## **Conclusion**

The battle over the final center of power, the population, in Afghanistan is shaped by a number of factors that also inform support in countries without active conflict characterized by irregular warfare. Citizens value security and want their lives to improve. The issue of security is clearly salient in conflict environments, but it does not make people ignore other areas of their life or serious issues facing the country. Corruption detracts from governmental support in conflict, and appears to result in increased trust in the insurgents attempting to oust it. The relative magnitude of the shift in support suggests that the incumbent government could overcome the loss through security and improvement of the population's living standards and combating corruption. However, an insurgent is able to do more with small amounts of support to maintain its ability to hide among the population. The incumbent government, on the other hand, must defend and improve everywhere.

## **Chapter 4: Corruption and Support for Combatants in Irregular Warfare**

The support of the local population is seen as a critical component of victory in irregular warfare.<sup>43</sup> An oft-cited dictum from Trinquier (1964) is “civilian” or “popular” support is “the sine qua non of victory.”<sup>44</sup> This is because the insurgent forces often depend on local populations for food, shelter, and information. Even if material support is being provided to insurgents by external actors, information, acquiescence, and, at the very minimum, neutrality of the local population is desired by the counterinsurgents. The incumbent government and allies desire the same, thus a game is set to compete over required areas of support.

A number of possible explanations for support for combatants have been offered in the conflict literature, including ideological and religious similarity (Davis et al. 2012), intergroup biases, and specifically co-ethnicity, (Lyll et al. 2013) and strategic calculations balancing benefits, costs and prospects of victory or future rewards (see e.g., Kalyvas 2006). Many of these explanations, however, suffer from inferential error due to individual preference falsification in the historical record or hindsight bias by certain scholars (Kalyvas 2006). Generally, this means the victor is presumed to have ‘won’ the support of the population.

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<sup>43</sup> This term is used by Kalyvas (2006) in his book on civil war to demarcate population centric warfare from conventional warfare fought between two armies. In the latter case, the battles are not fought among and through the local population, but focus on one another. As this book makes clear and is discussed in Goldstone 2010, the type of violent political conflict can often be discussed interchangeably. For example, insurgency can be one stage of civil war, which if it leads to the change of political institutions can then be classified as revolutionary. I mention this here to make it clear that I am borrowing from several branches of the political instability/violence literature, which is united by the need to gain a measure of local support. This is consistent with previous work on this topic (c.f. Lyll et al. 2013), and Kalyvas (2008) points out that the Army counterinsurgency manual itself would not change if one substituted civil war for insurgency throughout the document.

<sup>44</sup> Cited in Kalyvas (2006, Kindle Location 2642, sec. 4.3), but continues to be acknowledged in the literature (see also Lyll et al 2013). However, following some of the concerns expressed by Kalyvas, an entire edited volume was recently dedicated to a critical review of counterinsurgency conventional wisdom topics such as this (Gventer et al. 2014).

Moreover, the literature has overlooked a key factor mediating citizens' support for warring factions: corruption. There has been a trend to ignore the effects of corruption in conflict studies, at a penalty to explanatory power (Shelley 2014). For example, recent journalistic and personal accounts of the civil war in Syria suggest corrupt behavior of insurgents could be driving support of ISIS.<sup>45</sup> Chayes (2015) tells the story of a former Afghan policeman acting as her NGO manager, who was so angry with the treatment of his brother by corrupt police in Kandahar, saying: "My sacred oath, if I see someone planting an IED on a road, and then I see a police truck coming, I will turn away. I will not warn them" (Chp. 1). Chayes also points out later in the book that Machiavelli warned, above all, that theft of a subject's goods makes them hated, and widespread hatred breeds conspiracy, and conspiracy "reliably brought down governments" (Chp. 2).

Therefore, any analysis that fails to account for corrupt behavior's impact on government support is missing a key explanatory variable. Whether corruption takes the form of demanding bribes for service, violating property rights, or dishonest use of religious authority, one must recognize the likelihood that government corruption reduces its favorability to its citizens, and in some cases drives people to provide active support to the opposition. Given evidence of this trend in Chapter 3, this should be of equal concerns to an international counterinsurgent force attempting to support a country. That IED, not reported, could just as easily blow up its forces if not reported by a sympathetic local.

In this chapter, I examine the factors that influence citizens' support for combatants in Afghanistan. In particular, I build on the work of Lyall et al (2013, 2014)

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<sup>45</sup> See especially, "Do Syrians support US airstrikes in Syria?" 19 September 14, Al-Monitor, <http://www.al-monitor.com/pulse/originals/2014/09/syria-isis-srf-iran-russia-unga.html#> last accessed on 9 Dec 15.

by examining the impact of corruption, which is consistently cited as one of the most important problems facing Afghanistan.<sup>46</sup> In their 2013 study, using a survey experiment administered in five provinces, the authors find that support for combatants, specifically ISAF and the Taliban, is conditioned by ethnic similarity (intergroup bias). The authors, however, did not account for corruption and how corrupt actions by Afghan government officials may impact support of the population for ISAF, who was doing the bulk of the fighting from 2001 to 2014.

Survey research can provide an opportunity to move beyond anecdotal evidence to capture attitudinal expressions of support for opposing and allied actors in irregular warfare. U.S. government funded surveys in Afghanistan conducted in December 2008 and March 2009 will allow me to answer this question. These survey waves expand the regions surveyed beyond the smaller sample conducted by Lyall's research team and a similar small-scale effort by Beath et al (2011). Additionally, the survey asks a unique set of questions regarding corruption that allow us to observe whether it is just perceived corruption or corruption perpetrated by government forces which impacts ISAF support.

The analysis shows that corruption by the Afghan government does lower support for ISAF. It also extends and refines previous work (e.g. Kalyvas 2006, 2008, Kilcullen 2010, Mishler and Rose 1997) by examining the role of trust and cultural respect, both of which strongly affect individual support for the counterinsurgents I find, contrary to Lyall et al. (2013), that support for ISAF is similar across ethnic groups, when controlling for trust and respect of culture.

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<sup>46</sup> "Corruption," notes General John R. Allen, USMC, "is the existential, strategic threat to Afghanistan" (cited in Holdren et al. 2014, p. 143)



## **Literature Overview**

Observers of irregular war have posited many explanations for the support of local inhabitants for the combatants, and these conflicting explanations have likely made recent quantitative studies on political violence more attractive (c.f. Collier and Hoeffler 2005, Boix 2003, 2008). However, these large-N cross-national studies are not without problems. For example, economic research examining proxies for “greed” (available rent-seeking opportunities) and “grievance” (economic inequality) in the civil war literature have not yielded tractable causal explanations for why or when these concepts matter (Kalyvas 2008, Taydas et al 2011, Haggard and Kaufman 2012).<sup>47</sup>

Previous literature on civil war has found that ethnicity is an important variable in predicting the probability for civil war onset (Fearon and Laitin 2003). Further, ethnic fault lines also serve to predict the likely conflict zones within a state (Weidmann 2009). Ethnicity has also been posited to matter less than rational self-interest, which can lead to ethnic defection wherein citizens abandon co-ethnics and support a non-native power (Kalyvas 2006, 2008). More recently, Lyall (2010) uses a case study on the Chechen conflict to argue that there is a “coethnicity advantage,” which refines the expected direction of support and adds nuance to Kalyvas’ ethnic defection thesis. Essentially, if an ethnic force does defect it appears to be more effective in patrolling its own ethnicity.

Seeking to deepen our understanding for ethnic based support during conflict, Lyall et al (2013) conducted a survey experiment in five provinces of Afghanistan in order to gauge the relative support for the Taliban and ISAF. They found that in-group

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<sup>47</sup> Kalyvas suggests this is partially due to a misunderstanding of the collective action problem during conflict—where there are many assumptions that ‘greed’ — material incentives — is required to obtain active support. However, the ‘do nothing’ expectation in response to the free riding assumption of the collective action problem may not be a viable choice if either side is threatening violence to individuals who do not actively support a side.

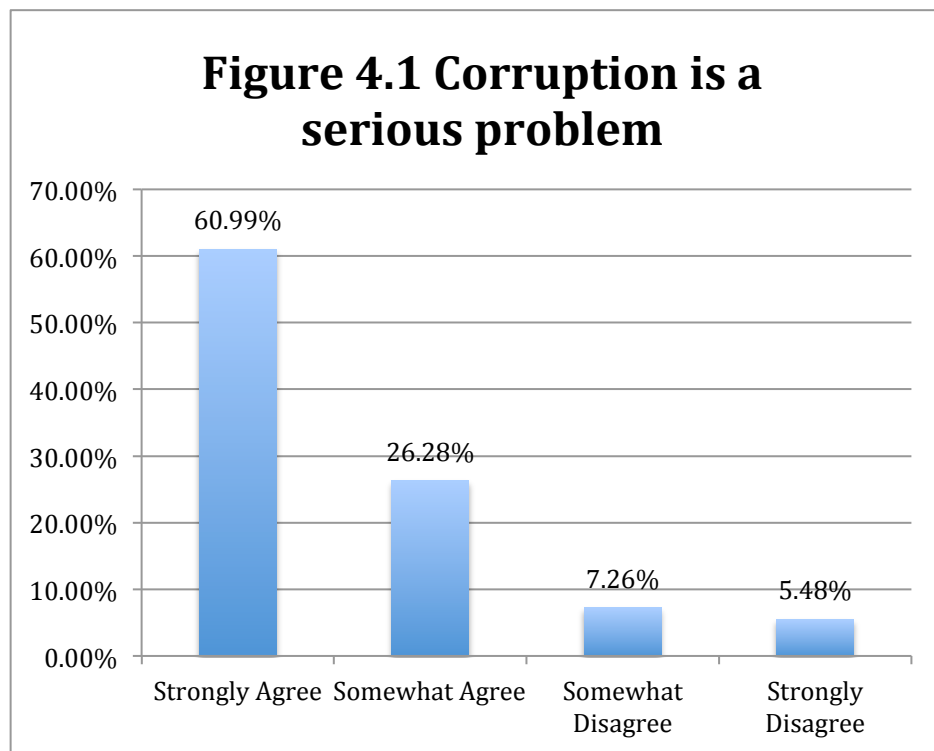
similarity leads to greater support (or more tolerance of grievances) among local Afghans in five Pashtun dominated provinces. Their results were framed as surprising since evidence suggests that the Taliban was the cause of more damage to local populations, which would likely decrease support among those locals. The Taliban, however, avoids blame at a rate that suggests a “coethnic” bias, whereas ISAF causes around 75% fewer casualties and damage, but receives more blame.

While it is clear that they attempt to control for the level of violence, they do not offer any commentary or analysis on the role corruption in shaping support, despite acknowledging that it was one of the top issues in the country at the time. Given that corruption was second only to security it is likely to have some effect on support for the Afghan government and ISAF.

In addition to corruption, absent from the analysis are individuals’ assessments of the performance of district and provincial level government officials. These officials are the front line of the political struggle in the counterinsurgency. As a result, we should expect that if their actions lose support from the local population that this loss will extend to national level forces working in the area. This is especially true in Afghanistan since President Karzai appoints district and provincial-level officials, and thus, their behavior reflects on the overall opinion of the Afghan government. The absence of this commentary is surprising as the main thrust of Lyall (2010) was to suggest that coethnic counterinsurgents are helpful to the war effort. In Lyall et al (2013), the coethnic benefit goes to the insurgents. Why doesn’t the presence of Afghan political and military actors neutralize the ethnic bias? I argue that there must be another variable, apart from ethnicity, which is mediating support for the coethnics.

## **Corruption and Support for ISAF**

Corruption has regularly been identified as an important consideration in public opinion polling (Asia Foundation 2010). The ANQAR survey confirms that corruption is viewed as a serious problem. As we can see from Figure 1, 60.99% strongly agree and 26.28% somewhat agree that “corruption is a serious problem in the government.” Only 7.26% disagree somewhat and 5.48% disagree strongly with this statement.



Given that corruption is regularly reported by Afghans to be one of the most pressing problem facing the country, it is reasonable to expect corruption also plays an important role in citizens’ support for warring factions, an expectation consistent with anecdotal and journalistic reports of the link between corruption and support from Syria to Yemen to Afghanistan. The inclusion of corruption as a determinant of support for combatant groups is also consistent with more recent findings in the civil war literature. For example, Taydas et al (2010) uses a quality of institutions index to find cross-national

statistical evidence that better quality institutions (measured using indexes rating degree of corruption in government, rule of law, and bureaucratic quality) reduce the likelihood of civil war onset. This suggests that satisfaction, or support of institutions, prevents conflict onset, and may be an important variable mediating support for opposing sides once conflict begins. Corruption, rule of law, and local government capacity nested within local perceptions should provide a robust test for this logic. Lyall et al. (2013) work on Afghanistan appears to need additional explanatory variables to account for support going to the coethnic Taliban, when it could have just as easily gone to the coethnic Afghan government, I hypothesize that perceived and experienced types of corruption are affecting levels of support for counterinsurgents and insurgents.

Previous work on corruption notes that not all types of corruption are perceived to be problematic by local citizens. For example, a case study on perceptions of corruption in Estonia suggests that “both public officials and citizens are more likely to engage in corruption when they do not define corruption as wrong, and when they perceive that corrupt behavior is widespread among their peers” (Tavits, 2010, p. 1257). However, this finding did not hold among persons who had been extorted (Ibid, 1274). This suggests two things.

First, different types of corrupt behavior can be perceived as more or less bad, and we should therefore not expect all types of corruption to have the same effect on levels of support. Previous studies have examined this topic to suggest that types of corruption can be seen as a continuum from definitely corrupt (black) to not corrupt (white). The middle area or grey consists of those things that might be technically corrupt in a legal sense, but is not seen as ‘bad’ by most people (Peters and Welch 1989). This research also

points to heterogeneity across groups in that different sub-populations may not perceive the same acts to be corrupt to the same degree.

Second, we should also expect perceptions to be conditional on whether or not an individual has been extorted. When an individual has been extorted, we should expect he or she to see this behavior as highly problematic, regardless of the extant social norms regarding the various potential types of corruption. Such action goes beyond the grey area of impartiality or social acceptability of certain behaviors and represents a concrete loss to individuals who have been extorted. In other words, negative experiences with corruption should negatively impact perceptions of corruption.

The theoretical expectation is, therefore, that individuals will perceive certain acts of corruption as worse than others, depending on the context (i.e. the social acceptability of certain acts) and on one's experience with corruption. These perceptions, will in turn, impact both support for individual members of the incumbent government officials and an any affiliated external counterinsurgent force. In the Chayes (2015) example, paying small bribes was expected. However, when the individual was asked to pay a very large bribe by the police, and was then harassed (slapped) for his unwillingness to pay, the individual's brother expressed his unwillingness to support government forces if the Taliban placed an IED near them. The negative experience with corrupt behavior (beyond what was viewed as socially acceptable, i.e., paying a small bribe) clearly impacted the brother's support for government forces. Such experiences are also likely to affect the levels of support for the incumbent government and by extension the counterinsurgent forces (e.g., ISAF) supporting the government.

Discussing support in this manner is consistent with the literature's conceptual treatment of support as having specific and diffuse components (Easton 1965, 1975; Norris 2011; Booth and Seligson 2009).<sup>48</sup> There is the specific support (or lack thereof) of local actors allied with the incumbent government and counterinsurgent forces. Then there is the general (diffuse) support for the larger entities and institutions involved. Negative interaction with local officials or institutions can undermine specific support, as well as diffuse support. This is consistent with research that finds that people formulate their views about the fairness of institutions and systems from their interactions with authorities. For example, Peffley and Hurwitz (2010) show how different racial treatment in the American justice system undermines support for the system when system officials treat individuals unfairly. In a review of the literature, Peffley and Rohrscheider (2014) point out that, "for most citizens, encounters with the police, bureaucrats, and legal authorities are as close as they come to an experience with the government" (p. 183). Therefore, we should expect that interactions, whether positive or negative, with local affiliates to inform individuals' support for both the Afghan government and an enabling political actor like ISAF.

In this paper, I expect that experiences support at both the specific and diffuse levels. However, such negative interactions can also extend to actors associated with the government and its officials. In this case, individual experience, whether negative or positive, will shape his or her opinions of and support for the government. However, the effect can extend beyond the government. For example, positive or negative perceptions of local and national Afghan actors and institutions, will likely also shape individuals' attitudes of ISAF for those individuals who see ISAF as the supporting power, facilitating

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<sup>48</sup> See Chapter 3 for a more in-depth discussion on this literature.

the government of Afghanistan's actions.<sup>49</sup> Afghans have historically demonstrated such sophistication. For example, in September 1879, three regiments of unpaid Afghan soldiers bypassed the local Afghan government to petition British officials who were seen to have influence over the Afghan rulers (Barfield 2010, p. 141).<sup>50</sup> Thus, it is reasonable to expect that locals might not judge ISAF only on its own behavior. Rather, support for ISAF is likely to be linked to the behavior and outputs of the incumbent government and its agents. The concern for the international counterinsurgency efforts is that because corruption is so bad in Afghanistan, and perceived to be a major problem by a majority of the population, the public will support neither the government nor the supporting international forces working to defeat anti-government forces, such as the Taliban.

Scholarship on support for incumbents and insurgents in irregular warfare has not followed the same contours as support for democratic regimes. There is a different set of factors that are expected to shape support for combatants during conflict. Kalyvas (2006) provides an extensive review of the literature and suggests that we start by thinking about support in two ways. The first is citizens' attitudes and preference; the second, the behavior or actions of citizens towards combatants (p. #). He raises concerns about previous interpretations of attitudinal support derived only by inferring popular support must have been on the side of the victor. Further, he cites over 25 different reasons for individuals to support either side in a conflict, including protection against violence, moral outrage, and the desire to take revenge (see Chp 4, sec 3).

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<sup>49</sup> Of course this is not the only way attitudes are formed about ISAF. The population could have direct contact or receive information about the patrolling or political behavior of ISAF departments and units. Studies normally look at causality rates or some other proxy to capture military unit and population (Lyal et al 2013). The point here is to highlight this secondary but major actor, the local government, which often fades into the background as the U.S. media, policy makers, and academic researchers focus on the U.S. versus the belligerent.

<sup>50</sup> It is a complicated manner as 'historically' the Afghan rulers used this middle position to attempt to manipulate both external powers, including both Russia and Britain, and local perceptions.

How are we to move forward? First and foremost, Kalyvas did not consider that survey work would be done in conflict zones in the future. Current survey work being conducted in ongoing conflict zones, including both Afghanistan and Iraq, offers a way to assess the local population's attitudes of and support for the actors fighting the war, as opposed to attempting to assess support for various combatant groups after the conclusion of the conflict. Using surveys frees us from relying on the assumption that an area controlled by the government or Taliban is necessarily attributed to support for the controlling faction

We can now bring our understanding of specific and diffuse support back into the discussion to specify expectations and limitations of our approach. Both of the concepts of diffuse and specific support are attitudinal. We cannot know whether the attitudinal support resulting from either the performance outputs of specific support, or to a more diffuse form of support due to socialization processes will result in behavioral support (e.g., offering assistance to a group). The decision to act raises a new set of theoretical questions such as those associated with the collective action problem during conflict (Olson 1965; Lichbach 1998). Even though Kalyvas (2008) shows how the role of coercion shifts the 'stay at home' expectation: people will not stay at home if threatened, it is less clear whether this translates into observed behavioral support. It is still difficult to get individuals to actively assist either side during conflict due to the difficulty in credibly enforcing threats. Moreover, coercion, according to Kalyvas, tends to trump ideological preferences, including religious and cultural, which can also influence support.<sup>51</sup>

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<sup>51</sup> Kalyvas (2006) is silent on religion and culture being the basis of social contracts that facilitates collective action (Lichbach 1998).



Gventer et al (2014) suggest that counterinsurgent scholars preoccupied with rational self-interest avoid serious treatment of the role of ideological and value-driven preferences that can motivate behavior and attitudinal support. Hewstone et al (2002) cite strong religious beliefs as one of the predictors of prejudice that can, in turn, influence support. Lyall et al (2013) also argue for the importance of identity-based preferences, but consider only ethnicity and not the importance of religion and culture values.<sup>52</sup> A properly specified model, therefore, would also include an ideological dimension, in addition to ethnicity, as a predictor of diffuse support.

In addition to ethnicity and ideological preferences, another important factor to consider is trust. Kalyvas (2006, 2008) agrees with the collective action literature that trust is an important variable that holds coalitions together in conflict. Kilcullen (2010) argues that building “trusted networks” is critical to increase influence and popular support to rival enemy networks (p. 36). If the local population does not trust the counterinsurgent force it will be difficult to build a movement to support efforts against the rival faction. If trust serves as the glue to maintain support in a coalition, then upon what basis is foreign counterinsurgent able to build trust? When the popular support literature evaluates trust with respect to diffuse support for institutions, it reasons that people have built trust through multiple interactions with the state apparatus (Norris 2011).<sup>53</sup> Mishler and Rose (1997), citing Gamson (1968), highlight that trust allows authorities to make decisions and commit resources without relying on coercion, which, in turn, should engender greater public support if the authority is successful in its

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<sup>52</sup> Ironically, Lyall et al rely on Hewstone et al (2002) as their theoretical foundation to posit that “in-groups” will evaluate their own members more favorably than the “out-group.” Nevertheless, they fail to consider other dimensions of identity.

<sup>53</sup> ...or at least through an early life socialization process (Mishler and Rose 1997). Presumably this socialization makes them familiar with the region’s political competition so that when confronted with a new system (in this study new democracies in Europe) they can still have trust in it.

decision-making. Since trust is essential to building public support it is likely that a foreign counterinsurgent will be challenged by trust issues that might cause popular support to stagnate or diminish.

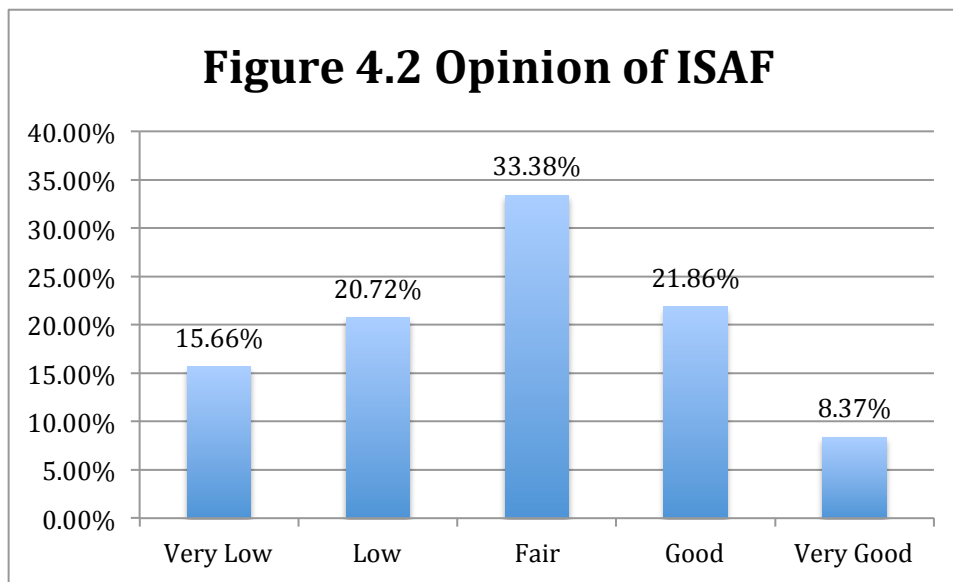
Despite our inability to determine whether attitudinal support will yield behavioral support in conflict, it is not unreasonable to assume that if one side lacks attitudinal support they will be less likely to receive behavioral support. Kalyvas suggests that behavioral support will ultimately result from a mix of persuasion and coercion. Therefore, the attitudinal support captured in the survey will have the most use in exploring factors that would suggest persuasive appeal. Furthermore, since global norms pressure international counterinsurgents to use only persuasion (Gventer et al 2014), we must, therefore, invest in understanding the elements of persuasive support given the constraints imposed by global norms against the use of coercion to ensure population compliance. In the next section, this paper evaluates the dimensions of support for ISAF. The analysis, as discussed in the concluding chapter, offers several important implications for whether ISAF is capable of inducing the local population to help defeat insurgent forces.

### **Data and Methods**

Although there are several years of surveys available, the battery of corruption questions were asked only in two consecutive waves: December 2008 and March 2009. The survey includes 16,791 respondents in all of Afghanistan's 34 provinces. Information on the survey and demographic characteristics for the sample are discussed in detail in the Introduction.

## Key Variables

The dependent variable captures the level of support for ISAF. The question asked respondents, “On a scale of 1 to 5, 1 being Very High and 5 being Very Low, how would you rate your opinion of ISAF in Afghanistan?” This question captures a dimension of diffuse support, which allows us to use independent variables that are components of this level of support such as nationalism and religious identity, but we can also examine items of specific support (i.e. security provided, and operations conducted), which should affect the diffuse level of support (Easton 1975). Figure 2 presents the proportion of respondents in each category. In order to aid in interpretation, I recoded the variable into a binary variable to capture whether a respondent views ISAF favorably (coded as 1), with the midpoint having a slightly positive designation (3 = “fair”) being included on the side of support.<sup>54</sup>



<sup>54</sup> Regression models are checked for sensitivity to this recoding, re-estimating the models with “fair” as outcome 0. In addition, the ologit regression is included in the appendix as robustness check.

## **Corruption**

Corruption is the main independent variable being analyzed in this study. I include two sets of variables to capture corruption. One of the unique aspects of the ANQAR survey is that there are several possible measures of corruption, including both perceptual and experienced or observed corruption questions. It allows me to distinguish between types of corruption that may not be particularly relevant to determining support. For example, the survey asks about bribe paying and whether certain political officials are seen as corrupt. It is likely that paying baksheesh (a bribe) is not a strong source of grievance, and thus have little impact on support, but more serious forms of corruption, such as theft, would strongly reduce support.<sup>55</sup> The first set of variables, therefore, consists of experienced or observed corruption by members of the police and national army. The results from Chapter 2 highlighted that perceptions of government institutions and actors' performance were the most relevant factors in determining perceptions of corruption as a serious problem. However, the final model examining government performance examination showed that the experience of misdeeds were still problematic but already high levels of corruption attenuated the contribution of misdeeds to poor evaluations of the government significantly.

An important point of investigation is to determine whether the corruption where ISAF would have a more direct ability to influence will have a greater impact on popular support for ISAF. This should mean that corruption carried out by the national army and police are more likely to be relevant to support for ISAF than corruption in the court system since ISAF is seen as having more direct influence on the army and police, rather than the court system. It is unclear the extent to which people will connect perceptions of

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<sup>55</sup> See Chapter 1 for a more extensive discussion on this issue.

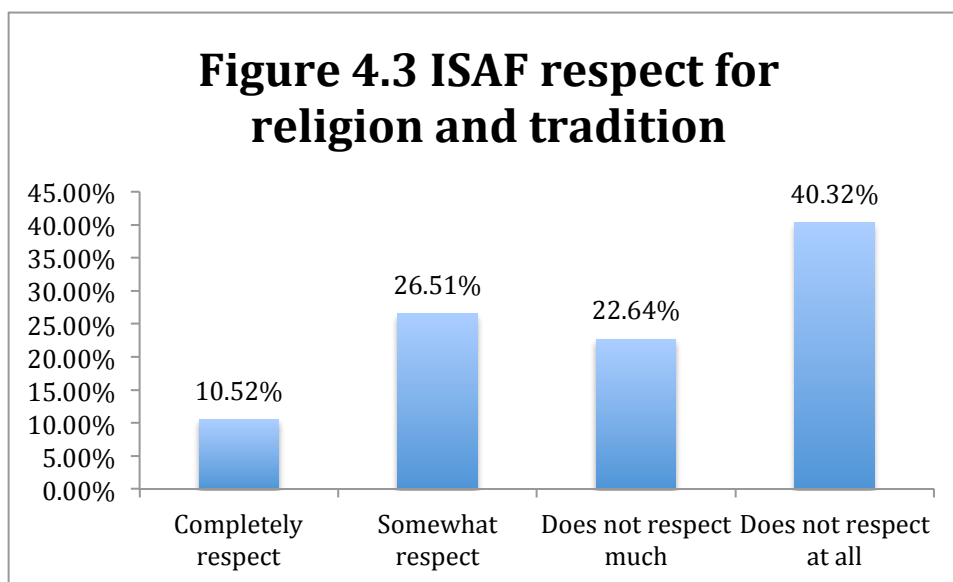
Afghan institutions, such as district, provincial and national government institutions, and local leaders to ISAF. On the one hand, since ISAF is seen as a supporting the government, one could expect that perceptions of corruption in government institutions would be detrimental to ISAF support. On the other hand, however, President Karzai often reinforced the independence of the Afghan government, especially when related to negative outcomes from ISAF activities (Chaudhuri and Farrell 2011). Yet, ISAF regional commands were seen to accept corruption as a cultural reality (Ibid), and this laissez faire attitude may reinforce ISAF culpability. Looking at perceived court corruption and the perceived misuse of power by district and provincial governors will help clarify this relationship.

### **Additional Elements of Support**

While I am emphasizing corruption, the provision of security is expected to be a baseline requirement to establish support in the counterinsurgency literature. As in Chapter 2, I use the question, “Is the security in your *mantaqa* [community or locale] better, the same or worse than it was 6 months ago?” Approximately 30% of respondents replied that it improved, while 52.46% responded it stayed the same and 16.94% replied it had worsened. Lyall et al (2013) also asked respondents whether they were affected by either ISAF or Taliban violence and found exposure to violence reduced individual support for the offending party. The instrument in the ANQAR survey does allow us to determine if the individual has been directly affected by operation bombings, as Lyall et al. Nevertheless, it does offer two similar questions. The first question provides a measure of the security situation, which has been highlighted in the previous literature as an important determinant of support. The second question asks respondents whether they

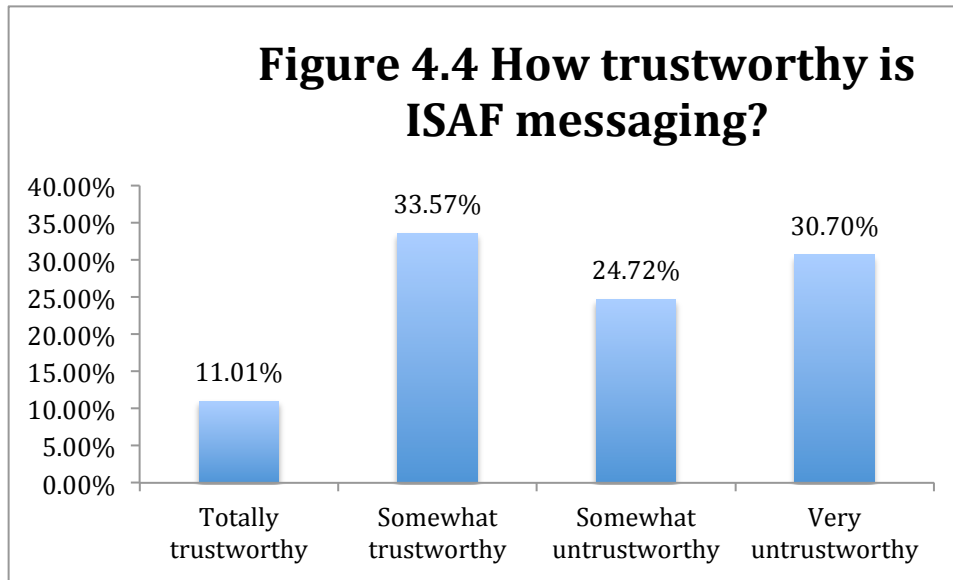
have been affected by operations and bombings. I expect improvement in the security situation to positively impact support, but being effected by operations and bombings will reduce support.

Beyond security and corruption, I include two variables that capture the direct perception of ISAF on two important areas related to diffuse support. The first is the perceived respect of religion and traditions of Afghanistan. If ISAF is perceived to not respect these traditions, then it should reflect poorly on their overall support. The question asks whether ISAF respects the religion and traditions of Afghans. As can be seen in Figure 3, a majority of respondents believed ISAF was not very or not at all respectful.



The second variable captures citizens' trust in ISAF. As discussed above, the level of trust between the counterinsurgent and the population is important. Respondents were asked whether ISAF messaging was "Totally Trustworthy," "Somewhat Trustworthy," "Somewhat Untrustworthy," or "Very Untrustworthy" Figure 4 shows that a majority of respondents (55.42%) believe that ISAF messaging is not trustworthy. The trust variable

uses a proxy of how trustworthy their messaging is perceived to be, which is especially appropriate given that persuasion is one of the few tools remaining when coercion is taken off the table.



### Demographic Controls

We have seen in Chapter 2 that the support for the Afghan government was connected to several demographic characteristics (age, gender, education), a finding consistent with previous literature. These demographic variables are also expected to influence support for combatants (see e.g., Lyall et al. 2013). Lyall et al. (2013) also use income and the number of years of madrassa education in their study, citing previous conflict and terrorism literature. Again, there is no direct measure for income, but the use of the life improvement variable will capture the socio-economic performance expectations. However, both of these variables, as well as education, are disputed as being relevant in the literature (c.f. Shapiro and Fair 2010, Bullock et al 2011, Blair et al 2013 for relevant Pakistan cases disputing their relevance). Instead, regional issues and political affiliations are shown to be the relevant factors.

In addition, I include respondents' ethnic group. Minority ethnic groups are more likely to be supportive, since international intervention as forced more power-sharing with the historically dominant Pashtuns. A district (similar to county) variable controls for differences in interaction with ISAF. In some districts, there is less ISAF presence as it moved to try to focus on what it considered key districts. There was also variation in the type of ISAF units from district to district. For example, some units focused entirely on reconstruction and government support.

### **Findings**

Table 4.1 presents the results of the first logistic regression.<sup>56</sup> Coefficients are presented as odds ratios for ease of interpretation. The results of the model reveal that effect of the demographic controls are consistent with the literature. Increased levels of education and improved life condition positively affect support. Males are less likely than females to hold favorable attitudes towards ISAF. Lyall et al., who did not attempt to seek out female participants does not register that there is increased levels of support in this portion of the population. Women are 30% more likely than men to support ISAF. ISAF's primary charter is to provide security and those areas where citizens reported the level of security remaining the same or improving improvement showed a 90+% increase in support for ISAF compared to areas where the security situation was reported to have gotten worse. Since there was strong support for areas that that remained the same it is likely that 'sameness' was a state of security. However, having been affected by operations and bombing against the Taliban does decrease support for ISAF, which is consistent with the findings from the Lyall research.

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<sup>56</sup> See Table 4.2 in the appendix for a robustness check on the dependent variable.



**Table 4.1: Opinion of ISAF**

Independent Variables	Model 1		Model 2	
	Odds Ratio	Std. Err.	Odds Ratio	Std. Err.
Age	0.999	(0.00197)	0.999	(0.00197)
Gender	0.706***	(0.0393)	0.694***	(0.0389)
Education	1.118***	(0.0256)	1.115***	(0.0257)
Madrassa Education	0.976	(0.0132)	0.976	(0.0133)
Life Improvement	1.111**	(0.0374)	1.113**	(0.0376)
Security (Reference: Worse)				
The Same	1.974***	(0.141)	1.939***	(0.14)
Better	1.926***	(0.153)	1.900***	(0.152)
Affected by Bombing	0.890*	(0.0459)	0.906	(0.047)
District	1	(0.000217)	1	(0.00022)
Ethnicity (Reference: Pashtun)				
Tajik	1.356***	(0.0856)	1.417***	(0.0893)
Uzbek	1.400**	(0.146)	1.439***	(0.151)
Hazara	1.442**	(0.184)	1.475**	(0.189)
Other	1.343**	(0.127)	1.400***	(0.133)
ISAF Message Trustworthy	0.543***	(0.0154)	0.544***	(0.0155)
ISAF Respect Religion	0.569***	(0.0159)	0.570***	(0.016)
Police: None	0.730***	(0.0403)		
Police: Bribe Taking			0.862*	(0.0575)
Police: Theft			0.662***	(0.0631)
Police: Wrongful Arrest			0.875	(0.0828)
Police: Harassment			0.667***	(0.0538)
Police: Illegal Checks and Fees			0.821	(0.111)
Police: Reckless Driving			0.876	(0.0679)
Police: Qawm Partiality			1.005	(0.144)
Police: Smuggling			0.875	(0.133)
Army: None	0.776***	(0.0465)		
Army: Bribe Taking			0.91	(0.0967)
Army: Theft			0.901	(0.138)
Army: Wrongful Arrest			0.82	(0.0874)
Army: Harassment			0.760*	(0.0904)
Army: Illegal Checks and Fees			0.522***	(0.0998)
Army: Reckless Driving			0.948	(0.0788)
Army: Qawm Partiality			0.894	(0.147)
Army: Smuggling			0.628*	(0.126)
Court Corruption	0.837***	(0.0326)	0.841***	(0.0329)

Provincial Misuse of Power	0.894**	(0.0354)	0.895**	(0.0356)
District Misuse of Power	0.954	(0.0385)	0.949	(0.0384)
Observations	9264		9264	

Exponentiated coefficients; Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

An important result of the model is the effect of ethnicity. The results of this analysis refute Lyall et al.'s claim that ethnic differences are driving Afghans to reject ISAF. All ethnic groups demonstrate positive trends in support of ISAF compared to Pashtuns. Pashtuns, however, only have slightly lower predicted probability of supporting ISAF (and still the average marginal effect is above 60%) than other ethnic groups. The baseline descriptive statistic shows Pashtuns almost evenly split in support of ISAF with 53.2% expressing support and 46.8% withholding it.

The reason is likely connected to levels of trust and religious ideology differences. First, if there is an intergroup bias effect, it is likely occurring as a result of individuals distancing themselves from foreign forces on this cultural dimension. However, the trustworthiness variable demonstrates a slightly larger impact on support. Given especially that their survey experiment uses a 'ISAF says' treatment, this particular measure of message trustworthiness could explain part of the negative sentiment toward ISAF. Since trust is an important component of building a successful counterinsurgency network, this is a troubling finding in predicting the future success of their fight against the Taliban. Furthermore, if persuasion is one of the major factors to build popular support, it is problematic that messaging that would be used to persuade is trustworthy. The inclusion of indicators of trust and cultural respect might account for the divergent findings regarding the effect of ethnicity between this work and the work of Lyall et al.

Perceived respect for religion/tradition and trustworthiness overshadow corruption in magnitude of likelihood of reducing support, but corruption still remains relevant. The perceptual variables of a corrupt court system and political leader misuse of power negatively weigh on ISAF support. Misuse of power at the district level is not statistically significant, which is not surprising since leaders at that level have relatively little power (and budget) to misuse compared to the provincial level (see Evans 2004 for a description of the Afghan system of government).

The first model collapses the corruption experience/incidents variables for the police and national army into two variables: whether an individual observed any improper behavior by the police and national army. Observing corrupt behavior in both organizations decrease support for ISAF by 27% and 23% percent, respectively, compared to the baseline of no corrupt activities experienced. This result is consistent with my expectations that ISAF would be blamed more for areas over which it has more influence. However, it might be expected that since it more actively affiliates with the National Army in running its training program and conducting combined operations that corruption within the army would be more problematic than corruption carried out by the police. The difference is probably explained by the higher incident rate within the police force (see Chapter 1), and due to ISAF being more likely to patrol areas where only local police are present since they have a larger footprint than the National Army.

Table 4.1, Model 2, examines the impact of specific experienced or observed improper or corrupt acts among the police and army on support for ISAF. In particular, it divides improper acts into eight dimensions for both the police and army: bribes, theft, wrongful arrest, harassment, illegal checkpoint fees, reckless driving, *qawm* impartiality,

and smuggling. Among the police, bribes are seen as problematic, but theft and harassment show the greatest impact on support with a respective 35% and 44% decrease in the odds of support compared to respondents who did not observe these improper acts. That harassment and theft is especially odious is consistent with Chayes's (2015) account of her NGO manager's brother. Individuals begrudge paying bribes, but when it turns to outright theft and humiliating harassment it is intolerable. The other, more benign, forms of corruption do not show statistical significance in relation to ISAF support.

Bribes and theft among members of the army are not statistically significant. This may be due to the very low incident rate for these categories. Illegal checkpoints and checkfees set up by the Army had the largest negative impact on support, among the all the corruption incidents variables, and indeed all independent variables, reducing the odds of support by 49%. Wrongful arrest and harassment by the army also prove problematic for support for ISAF, potentially because ISAF may be seen as a patron or indirect accomplice of these activities. Interestingly, army smuggling activities reduces support by 40%. Previous discussions in Chapter 1 and above suggest that smuggling may be one of the 'grey' forms of corruption, not necessarily causing a loss to an individual and thus not expected to be considered a serious problem. It may be that smuggling is more concerning to the population when an Afghan government ally it permitted by ISAF to commit such an act of corruption. In this particular case, the smuggling activities may be conducted under banner of an ISAF support operation to cause individuals to establish a stronger connection between the smuggling and ISAF

responsibility.<sup>57</sup> There is also a potential for loss of support due to hypocrisy if ISAF operations targeting local drug smuggling only affect civilians and not police or the army.

## **Conclusion**

This paper produces significant results for the conflict and counterinsurgency literature. Support for an international assistance force will be moderated by the performance of the government receiving assistance. Local corrupt practices are not considered only local problems once the force has arrived on the scene, and it would likely boost popular support for them to be seen as helping to solve problem corruption practices in addition to improving security.

ISAF loses support for conducting operations in an area, yet gains support by establishing security. The Lyall et al. study is helpful in its analysis of activities that security forces like ISAF can do in order to counteract the negative consequences of operations, namely following-up to help patch up what they break. Otherwise, the intergroup bias found by Lyall et al is real, but it is not necessarily connected to ethnicity as opposed to a broader set of cultural factors. There are Pashtuns on both side of the war, and they hold the majority of political power in the country. It is not likely, therefore, that ethnic differences are the central factor driving support. Instead, cultural and religious differences, as found here, might also account for the out-grouping of ISAF found in their study.

When combined with the overall lack of trust in ISAF messaging, ISAF is at a significant disadvantage when engaging with the local population and attempting to persuade them to join the fight against the Taliban. The cause is not hopeless. We see in

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<sup>57</sup> Otherwise, this variable has a very low occurrence rate, 255 incidents out of 4,058 (6.3%) of the total, and it may be an anomaly.

the concluding chapter that the population generally recognizes the roles of ISAF are useful and even wants them to help tackle the corruption problem. ISAF should, however, be sensitive to those incidents of corruption that people feel ISAF should be able to control—in this case, the loss inducing corrupt activity of its closest partners: the police and national army.

## **Chapter 5: Conclusion**

Throughout this work we saw that multiple factors, in addition to corruption, effect support for the Afghan government, then ISAF. What does the above analysis imply about continued ISAF activities in Afghanistan? In particular, should ISAF have left the country? Here I attempt to answer a policy relevant question that would confront decision-makers given our understanding of support for ISAF. I run a final model to examine the factors that contribute to the citizens' desires to have ISAF remain in the country. The dependent variable, asks "Should ISAF stay longer in Afghanistan?" Respondents are almost evenly split on the question with 49% saying that ISAF should stay longer and 51% saying they should "leave soon." The theoretical premise would be consistent with Kalyvas (2006), who argued that citizens would put up with whatever power can bring security to their lives. However, it also considers that given the potential negative externalities from operations conducted to provide security that people might hold conflicting opinions—on the one hand they support increased security and the under they loathe the loss of life and property as security is established.

It is expected that those actions by ISAF that directly impact the local population would affect their support for ISAF. Yet, the items in the previous analysis are not all directly connected. Instead, most reflected consequences from Afghan government performance. The regressions did include its primary function—bringing security to the country. However, there are several roles that ISAF plays. The obvious is to fight the Taliban in cooperation with the government of Afghanistan. However, there are two other roles that ISAF plays in the country. The first is to conduct reconstruction and development projects, and the second is to assist in improving the governing capacity of

the Afghan government. Both of these suggest the potential for external actors to bring resources and expertise to the country that would not be available otherwise.

The analysis in Table 5.1 shows that being affected by operations and bombing does decrease the likelihood of support by 20.2%. However, on the question of whether people want ISAF to stay, to provide security dramatically increase the likelihood by 2.09 times. It is not surprising that Afghans want ISAF to stay and conduct reconstruction projects, but it is interesting that the largest predictor is to assist the government. Significant to the entire dissertation is that existence of the corruption problem increases the likelihood that Afghans want ISAF to stay. This suggests that while ISAF is implicated and dragged down by the seriousness of corruption perceptions, it is at the same time seen to be an important counterweight to it. In this respect, the lack of support due to corruption may be due to the expectation that ISAF should do more to help mitigate the issue.

The conflict for the final “center of power” continues in Afghanistan today. The implication for future research in this field is to pay careful attention to the types of corruption that are seen locally as problematic rather than adopt a ‘standard’ or international conception of corruption and then attempt to analyze the effects of corruption using that standard. While corruption is pervasive, it is not culturally acceptable. Additionally, even greater geographical refinement would be useful to explore tradeoffs between the positive effects of security and the negative consequences of corruption. Finally, a time-series analysis would be useful to determine if later anti-corruption programs, first implemented in 2010, had any positive effects or if reported



interference with these efforts by the Karzai government (Chaudhuri and Farrell, 2011)  
has been detrimental to the resolving the conflict.

**Table 5.1: ISAF should stay longer**

Independent Variables	ISAF Should Stay Longer	
	Odds Ratio	(S.E.)
Age	0.996*	(0.00178)
Gender	0.821***	(0.0412)
Education	0.985	(0.0204)
Madrassa Education	0.997	(0.0125)
District	1.001***	(0.0002)
Ethnicity (Reference: Pashtun)		
Tajik	1.170**	(0.0655)
Uzbek	1.433***	(0.13)
Hazara	1.208	(0.124)
Other	0.974	(0.0811)
Life Improvement	0.985	(0.031)
Security Improved	1.091*	(0.0393)
Affected by Bombings	0.798***	(0.0377)
ISAF Message Trustworthy	0.769***	(0.0201)
ISAF Respect Religion	0.620***	(0.0156)
ISAF Projects	1.932***	(0.128)
ISAF Security	2.095***	(0.132)
ISAF Government Security	2.369***	(0.127)
Corruption is Serious Problem	1.102***	(0.0306)
Observations	9802	

Exponentiated coefficients; Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

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## Appendices

### Appendix – Chapter 2

Table A2.1 Ordinal Logit and Logit Models with Alternative  
Coding of Dependent Variable

	Model 1 Ologit	Model 2 Logit 1	Model 3 Logit 2
Age	-0.001 (0.001)	0.000 (0.002)	0.001 (0.002)
Gender	-0.116** (0.041)	0.130* (0.061)	0.120** (0.043)
Education	-0.016 (0.017)	0.021 (0.026)	0.013 (0.018)
District	-0.000 (0.000)	0.001*** (0.000)	-0.000 (0.000)
Message Trustworthy (Gov.)	-0.047* (0.024)	0.056 (0.034)	0.058* (0.025)
Respect Religion (Gov.)	-0.174*** (0.024)	0.183*** (0.034)	0.186*** (0.026)
Police: None	-0.033 (0.042)	0.194** (0.063)	-0.012 (0.044)
Army: None	0.103* (0.046)	-0.016 (0.069)	-0.132** (0.049)
Court System Corruption	-0.699*** (0.029)	0.559*** (0.041)	0.743*** (0.031)
Provincial Misuse of Power	-0.175*** (0.031)	0.185*** (0.045)	0.178*** (0.032)
District Misuse of Power	-0.066* (0.031)	-0.068 (0.045)	0.093** (0.033)
<i>N</i>	11141	11141	11141

Note: Both “Strongly Agree” and “Somewhat Agree” are coded as 1 for Logit 1

Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

Table A2.1 Ordinal Logit and Logit Models with Alternative Coding of Dependent Variable

	Model 1 Ologit	Model 2 Logit 1	Model 3 Logit 2
Age	-0.001 (0.001)	0.000 (0.002)	0.001 (0.002)
Gender	-0.116** (0.041)	0.130* (0.061)	0.120** (0.043)
Education	-0.016 (0.017)	0.021 (0.026)	0.013 (0.018)
District	-0.000 (0.000)	0.001*** (0.000)	-0.000 (0.000)
Message Trustworthy (Gov.)	-0.047* (0.024)	0.056 (0.034)	0.058* (0.025)
Respect Religion (Gov.)	-0.174*** (0.024)	0.183*** (0.034)	0.186*** (0.026)
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<i>N</i>	11141	11141	11141
Note: Both “Strongly Agree” and “Somewhat Agree” are coded as 1 for Logit 1			
Standard errors in parentheses			
* $p < 0.05$ , ** $p < 0.01$ , *** $p < 0.001$			

### Appendix – Chapter 3

Table 3A.1 Opinion of Government Direction: Alternative Coding of Dependent Variable			
	Logit 1	Logit 2	Ologit
Age	0.999 (0.00152)	0.999 (0.00167)	-.0001 (0.001)
Gender	1.106* (0.0462)	0.868** (0.04)	-0.004 (0.039)
Education	1.011 (0.0179)	1.023 (0.02)	.0140 (0.017)
Self Identification (Reference: As Afghan)			
By ethnicity	0.828** (0.0494)	0.825** (0.0531)	-.192*** (0.055)
By tribe	0.896 (0.096)	1.078 (0.12)	-.012 (0.094)
Other	0.745* (0.105)	0.824 (0.128)	-.265* (0.128)
As Muslim	0.906 (0.0928)	0.873 (0.0955)	-.088 (0.094)
Life Improvement	1.154*** (0.0303)	1.190*** (0.0341)	.160*** (0.024)
Security Improved	2.026*** (0.0633)	2.039*** (0.0688)	.720*** (0.029)
Geographic Area	0.923 (0.0535)	0.791*** (0.0512)	-.130* (0.055)
Ethnicity (Reference: Pashtun)			
Tajik	1.05 (0.0503)	1.153** (0.0615)	.080 (0.045)
Uzbek	1.443***	1.568***	.381***

	(0.11)	(0.145)	(0.073)
Hazara	0.709*** (0.06)	0.834* (0.0768)	-.263** (0.077)
Other	1.051 (0.0772)	1.442*** (0.124)	.134* (0.068)
Message Trustworthy (Gov.)	1.358*** (0.0326)	1.362*** (0.0349)	.308*** (0.022)
Respect Religion (Gov.)	1.370*** (0.0352)	1.333*** (0.0348)	.301*** (0.023)
Corruption Problem	1.092*** (0.0255)	1.147*** (0.0304)	.110*** (0.021)
Observations	12020	12020	12020
Standard errors in parentheses * $p < 0.05$ , ** $p < 0.01$ , *** $p < 0.001$			

Table 3A.2 Opinion of Government Performance: Alternative Coding of Dependent Variable			
Independent Variables	Logit 1	Logit 2	Ologit
Age	1.001 (0.00155)	1.001 (0.00146)	0.001 (0.001)
Gender	0.883** (0.0379)	1.009 (0.0408)	-0.047 (0.034)
Education	1.052** (0.0193)	1.093*** (0.0186)	0.074*** (0.015)
Self Identification (Reference: As Afghan)			
By ethnicity	0.914 (0.0552)	0.958 (0.0553)	-0.015 (0.049)
By tribe	0.531*** (0.0536)	0.675*** (0.0737)	-0.415*** (0.087)

Other	1.185 (0.177)	1.105 (0.146)	0.122 (0.112)
As Muslim	0.735** (0.0729)	0.842 (0.0843)	-0.230 0.083**
Life Improvement	1.193*** (0.0318)	1.120*** (0.0286)	0.169*** (0.022)
Security Improved	1.562*** (0.0486)	1.551*** (0.0465)	0.465*** (0.026)
Geographic Area	1.066 (0.0665)	0.907 (0.0511)	0.002 (0.048)
Ethnicity (Reference: Pashtun)			
Tajik	0.979 (0.0483)	0.717*** (0.0335)	-0.146*** (0.040)
Uzbek	1.557*** (0.133)	0.912 (0.0649)	0.103 (0.061)
Hazara	0.754*** (0.0641)	0.516*** (0.0438)	-0.444*** (0.069)
Other	1.478*** (0.118)	0.751*** (0.0537)	0.014 (0.059)
Trustworthy Message (Gov.)	1.311*** (0.0316)	1.308*** (0.031)	0.271*** (0.020)
Respect Religion (Gov.)	1.289*** (0.0317)	1.265*** (0.0322)	0.251*** (0.021)
Corruption Problem	1.062* (0.0256)	1.062** (0.0238)	0.068*** (0.019)
Observations	12470	12470	12470

Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$

## Appendix – Chapter 4

<b>Table 4.2: Opinion of ISAF (Robustness Check)</b>				
Independent Variables	ISAF_good		ISAF_good_robustcheck	
	Odds Ratio	Std. Err.	Odds Ratio	Std. Err.
age	0.999	(0.00197)	0.999	(0.00188)
gender	0.706***	(0.0393)	0.815***	(0.0430)
education	1.118***	(0.0256)	1.096***	(0.0237)
madrassa_ed	0.976	(0.0132)	0.991	(0.0130)
life_improvement	1.111**	(0.0374)	0.990	(0.0332)
Security (Base: Worse)	1	(.)	1	(.)
The Same	1.974***	(0.141)	1.765***	(0.147)
Better	1.926***	(0.153)	2.306***	(0.202)
affected_ops	0.890*	(0.0459)	1.077	(0.0537)
district	1	(0.000217)	1.000	(0.000211)
Ethnicity (Base: Pashtun)	1	(.)	1	(.)
Tajik	1.356***	(0.0856)	1.298***	(0.0777)
Uzbek	1.400**	(0.146)	1.213*	(0.110)
Hazara	1.442**	(0.184)	1.270*	(0.134)
Other	1.343**	(0.127)	1.068	(0.0974)
ISAF_message_trust	0.543***	(0.0154)	0.651***	(0.0179)
ISAF_respect_religion	0.569***	(0.0159)	0.686***	(0.0174)
police_none	0.730***	(0.0403)	0.757***	(0.0408)
narmy_none	0.776***	(0.0465)	0.843**	(0.0518)
corrupt_court	0.837***	(0.0326)	0.855***	(0.0314)
provgov_misuse_power	0.894**	(0.0354)	0.933	(0.0359)
distgov_misuse_power	0.954	(0.0385)	0.953	(0.0370)
Observations	9264		9264	

Exponentiated coefficients; Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$



Table A4.2 Ordinal Logistic Regressions for Opinions of ISAF

	Model 1		Model 2	
Age	0.999	(0.001)	0.999	(0.001)
Gender	0.825***	(0.034)	0.819***	(0.034)
Education	1.101***	(0.019)	1.098***	(0.019)
Madrassa Education	0.976*	(0.010)	0.978*	(0.010)
Life Improvement	1.076**	(0.028)	1.074**	(0.028)
District	0.999**	(0.000)	0.999***	(0.000)
Security Improved	1.328***	(0.040)	1.323***	(0.040)
Affected by Bombing	0.974	(0.038)	0.991	(0.039)
Ethnicity (Reference: Pashtun)				
Tajik	1.268***	(0.061)	1.311***	(0.063)
Uzbek	1.164*	(0.085)	1.168*	(0.086)
Hazara	1.374***	(0.121)	1.396***	(0.123)
Other	1.220**	(0.087)	1.249**	(0.089)
Message Trustworthy (ISAF)	0.589***	(0.013)	0.589***	(0.013)
Respect Religion (ISAF)	0.604***	(0.013)	0.606***	(0.013)
Corruption in Court System	0.835***	(0.025)	0.838***	(0.025)
Provincial Misuse Power	0.918**	(0.027)	0.924**	(0.028)
District Misuse Power	0.970	(0.029)	0.963	(0.029)
Police: None	0.761***	(0.032)		
Army: None	0.810***	(0.037)		
Police: Bribe			0.877*	(0.045)
Police: Theft			0.690***	(0.051)
Police: Wrongful Arrest			0.888	(0.065)
Police: Harassment			0.725***	(0.046)
Police: Illegal Checks & Fees			0.870	(0.094)
Police: Reckless Driving			0.858**	(0.050)
Police: Qawm partiality			0.847	(0.090)
Police: Smuggling			0.724**	(0.087)
Army: Bribe			0.881	(0.071)
Army: Theft			0.926	(0.112)
Army: Wrongful Arrest			0.890	(0.073)
Army: Harassment			0.792*	(0.072)
Army: Illegal Checks & Fees			0.579***	(0.087)
Army: Reckless Driving			0.921	(0.058)
Army: Qawm Partiality			1.149	(0.145)
Army: Smuggling			0.701*	(0.110)
N	9264		9264	

Exponentiated coefficients; Standard errors in parentheses

\*  $p < 0.05$ , \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$